

# Asymmetric Hydroformylation of Heterocyclic Olefins Mediated by Supramolecularly Regulated Rhodium-Bisphosphite Complexes

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## Supporting Information

**Abstract:** Rhodium complexes derived from conformationally transformable  $\alpha,\omega$ -bisphosphite ligands combined with a suitable alkali metal BARF salt as a regulation agent (RA) provide high regio- and enantioselectivities in the asymmetric hydroformylation (AHF) of three heterocyclic olefins. The outcome of the AHF could be exquisitely regulated by choosing the appropriate RA with an increase in the ee, the reversal of the regioselectivity, or the complete suppression of one byproduct.

The development of efficient strategies for generating libraries of enantioselective catalysts with minimal synthetic efforts remains an appealing challenge. Supramolecular interactions have been used to regulate the size, shape and first coordination sphere of a chiral catalyst.<sup>1</sup> However, there are few examples of the fine modification of the geometry of the active site.<sup>2,3</sup> Our group has developed supramolecularly regulated bisphosphite ligands possessing two different structural features: a catalytic site consisting of two identical phosphite fragments and a distal regulation site containing a polyether chain. Ion-dipole interactions between the polyether chain and the regulation agent (RA; e.g. alkali metal salts; see Scheme 1) bring the ligating groups together at the metal center.<sup>2</sup> Furthermore, the library of catalysts arising upon the binding of an array of RAs to the regulation site not only preserves most of the structural characteristics but also incorporates structural peculiarities that depend on the size and shape of the RA employed. Hydroformylation is an attractive industrial process requiring transition metal catalysts,<sup>4</sup> from which rhodium complexes derived from bisphosphite ligands have been used with variable success in the hydroformylation of heterocyclic alkenes.<sup>5</sup> Herein, we describe the design and synthesis of new supramolecularly regulated ligands (*R,S*)-**L2** and (*S,S*)-**L3** (Scheme 1), which incorporate a [1,1'-binaphthalene]-2,2'-diol motif in the regulation site. We also show that the inclusion of this motif

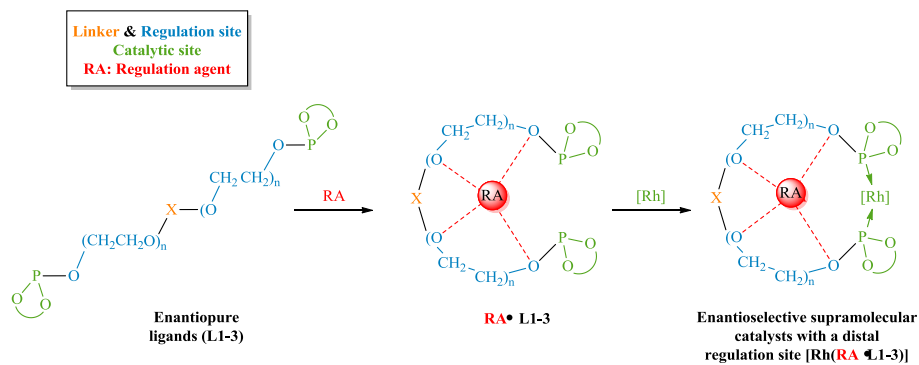
reinforces the regulation ability of the catalysts and leads to efficient AHF catalysts for a number of heterocyclic olefins.

The new ligands, (*R,S*)-**L2** and (*S,S*)-**L3**, were synthesized by O-phosphorylation<sup>6</sup> of the corresponding diol derivatives. Ligand (*S*)-**L1**, which lacks a stereogenic element in the regulation site, was also considered in order to aid comparison and was synthesized in an analogous manner (see the Experimental Section).

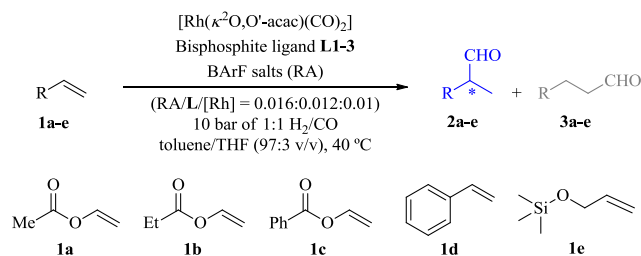
We first tested the activity of these new ligands in the AHF of benchmark substrates comprising vinyl esters **1a-c**, styrene **1d** and (allyloxy)trimethylsilane **1e** (Scheme 2 and Table 1). Initial studies were performed with [Rh( $\kappa^2$ O,O'-acac)(CO)<sub>2</sub>] and the corresponding ligand at 40 °C under 10 bar syngas in toluene, with the minimum amount of THF to solubilize the RA.<sup>2a,c</sup> The results on AHF are partially summarized in Table 1, which summarizes the results for the catalysts that show the highest positive regulation effects.<sup>7</sup> In the case of vinyl ester derivatives **1a-c**, the reactions performed in the absence of RAs yielded aldehydes with lower conversions than those in which an RA was used. Rhodium complexes derived from ligands (*S*)-**L1** and (*R,S*)-**L2** provided highly active catalysts and remarkable enhancements in the enantioselectivity of the hydroformylation of **1a-c** (with increases ranging from 69 to 77% ee; see entries 1-6 in Table 1). The combined use of (*S,S*)-**L3** and NaBARF provided the highest enantioselectivity for styrene (b/l ratio = 96:4; 54% ee; see entry 8 in Table 1), whereas the highest enantioselectivities were achieved for compound **1e** with (*S,S*)-**L3** and CsBARF (b/l ratio = 18:82; 23% ee; see entry 10 in Table 1). The principal steric directors in our catalysts are the bisphosphite units, which favor the formation of (*R*)-configured products, although the configuration of the [1,1'-binaphthalene]-2,2'-diol motif is also decisive in obtaining the highest performing ligands.

After testing the AHF of linear substrates with our catalysts, we moved on to the AHF of heterocyclic olefins, given the applicability of enantiopure heterocyclic aldehydes in the

synthesis of biologically relevant products.<sup>8</sup> Our group<sup>9</sup> and others have studied the AHF of five-,<sup>5b,8a,10</sup> six-,<sup>10d</sup> and seven-



**Scheme 1.** Supramolecularly regulated bisphosphite ligands with a distal regulation site.



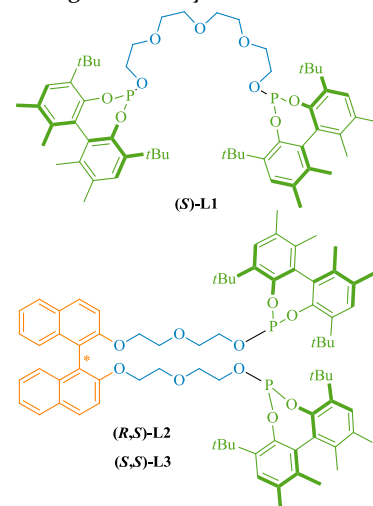
**Scheme 2.** AHF of compounds **1a–e** mediated by supramolecularly regulated ligands **L1–3**.

In the AHF of 2,5-dihydrofuran, the expected tetrahydrofuran-3-carbaldehyde (**6**), the regioisomeric aldehyde **5** and 2,3-dihydrofuran (**7**) can be formed (Scheme 3 and Table 2). The latter compound arises from a C=C bond isomerization that occurs simultaneously with the hydroformylation.<sup>11</sup>

Supramolecularly regulated ligands **L1–3** proved to be very active in the AHF of 2,5-dihydrofuran (with conversions ranging from 92 to >99%; Table 2). In the reactions performed without an RA, carbaldehyde **6** was obtained as the major product, although in poor enantioselectivities (from 36 to 38% ee; Table 2), together with small amounts of isomerization product **7** (from 5 to 9%; see entries 1, 6 and 11 in Table 2). The combined use of (*R,S*)-**L2** with KBArF as the RA had several major effects in the outcome of the reaction. First, the use of ligand (*R,S*)-**L2** and KBArF brought about a reversal of regioselectivity in favor of tetrahydrofuran-2-carbaldehyde (**5/6** ratio from 1:92 to 35:39; compare entries 6 and 8 in Table 2); second, they induced a change in the configuration of the final product and an increase in the ee (from 11% ee in favor of (*S*)-**5** to 82% ee in favor of (*R*)-**5**; compare entries 6 and 8 in Table 2). It is interesting that the reversal of regioselectivity was associated with major amounts of isomerization product **7** (from 7 to 26%; compare entries 6 and 8 in Table 2).

To gain more information about this complex transformation, the hydroformylation of 2,3-dihydrofuran under the same conditions was also studied (see Scheme 3 and Table 3).

5b,10a,c membered heterocyclic olefins and reported problems in the control of chemo- and regioselectivity.



Substrate **7** reacted more slowly than compound **4** under the same reaction conditions, with or without an RA (conversion from **7** to 89%; Table 3). The use of an RA increased activity in almost all the examples tested. The major product of the hydroformylation of **7** was tetrahydrofuran-2-carbaldehyde (**5**) in moderate to high enantioselectivities (e.g., (*R,S*)-**L2** and KBArF led to 81% ee in favor of (*R*)-**5**; entry 8 in Table 3).

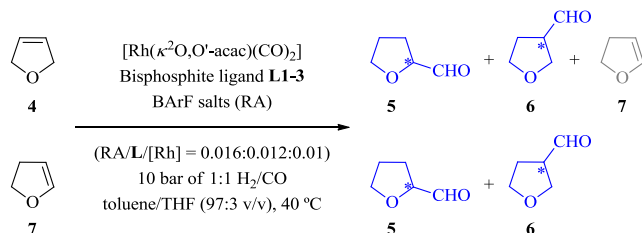
**Table 1.** AHF of **1a–e** using the catalysts that lead to the highest regulation effects<sup>a</sup>

entry	ligand	substrate	RA	conv (%)	b/l ratio	ee of <b>2</b> (%) config.
1	( <i>R,S</i> )- <b>L2</b>	<b>1a</b>	-	92	>99:1	23( <i>R</i> )
2	( <i>R,S</i> )- <b>L2</b>	<b>1a</b>	RbBArF	>99	>99:1	92( <i>R</i> )
3	( <i>R,S</i> )- <b>L2</b>	<b>1b</b>	-	94	>99:1	15 ( <i>R</i> )
4	( <i>R,S</i> )- <b>L2</b>	<b>1b</b>	KBArF	>99	>99:1	92( <i>R</i> )
5	( <i>S,S</i> )- <b>L1</b>	<b>1c</b>	-	90	>99:1	7 ( <i>S</i> )
6	( <i>S,S</i> )- <b>L1</b>	<b>1c</b>	NaBArF	78	98:2	76( <i>R</i> )
7	( <i>S,S</i> )- <b>L3</b>	<b>1d</b>	-	>99	95:5	42( <i>R</i> )
8	( <i>S,S</i> )- <b>L3</b>	<b>1d</b>	NaBArF	>99	96:4	54( <i>R</i> )
9	( <i>S,S</i> )- <b>L3</b>	<b>1e</b>	-	>99 <sup>b</sup>	61:39 <sup>b</sup>	17 ( <i>R</i> )
10	( <i>S,S</i> )- <b>L3</b>	<b>1e</b>	CsBArF	>99 <sup>b</sup>	18:82 <sup>b</sup>	23( <i>R</i> )

<sup>a</sup> Reaction conditions are shown in Scheme 2. Conversion was determined by GC chromatography ( $\beta$ -Dex 225) using *n*-dodecane as internal standard unless otherwise stated. The ratio of the regioisomers and ee values were determined by GC analysis on a chiral stationary phase ( $\beta$ -Dex 225) unless otherwise stated. Absolute configurations were assigned by comparing the elution order in GC analysis with reported data (for details, see Experimental Section).<sup>b</sup> Conversion and regioselectivity were determined by <sup>1</sup>H NMR.

To minimize the formation of isomerization product **7** and enhance regioselectivity toward carbaldehyde **5**, we decided to study the effect of variable amounts of H<sub>2</sub> and CO in the syngas mixture on the outcome of the AHF of **4**. We also hoped to be able to identify the AHF conditions that lead to carbaldehyde **5** being the most abundant product. These

studies were performed with ligand (*R,S*)-**L2** and KBArF, as their combined use provided the best results in terms of enantioselectivity for product **5** (see entry 8 in Table 2).



**Scheme 3.** AHF of **4** and **7** mediated by supramolecularly regulated ligands **L1–3**.

**Table 2.** AHF of **4** using Rh-complexes of ligands **L1–3** and a set of BA rF salts as RAs.<sup>a</sup>

entry	ligand	RA	conv. (%)	ratio 5:6:7	ee of 5 (%) config.	ee of 6 (%) config.
1		-	>99	2:93:5	23 (S)	38 (R)
2		NaBA rF	92	5:73:22	60 (R)	61 (S)
3	( <i>S,S</i> )- <b>L1</b>	KBA rF	>99	21:15:64	74 (R)	60 (S)
4		RbBA rF	>99	25:31:44	77 (R)	21 (S)
5		CsBA rF	>99	13:72:15	52 (R)	15 (R)
6		-	>99	1:92:7	11 (S)	36 (R)
7		NaBA rF	98	1:91:8	2 (S)	25 (R)
8	( <i>R,S</i> )- <b>L2</b>	KBA rF	>99	35:39:26	82 (R)	56 (S)
9		RbBA rF	>99	34:26:40	81 (R)	52 (S)
10		CsBA rF	>99	19:38:43	76 (R)	14 (S)
11		-	98	1:90:9	10 (S)	38 (R)
12		NaBA rF	>99	1:97:2	34 (S)	42 (R)
13	( <i>S,S</i> )- <b>L3</b>	KBA rF	96	3:85:12	18 (R)	2 (S)
14		RbBA rF	93	4:69:27	45 (R)	13 (S)
15		CsBA rF	97	5:70:25	43 (R)	18 (R)

<sup>a</sup> See footnote a in Table 1 for details, with the following remarks: Reaction conditions are shown in Scheme 3, and the amount of **7** was determined by <sup>1</sup>H NMR.

The AHF of **4** at 10 bar employing a 1:1 H<sub>2</sub>/CO mixture led to **5** in a selectivity of 35% with 82% ee, together with the formation of **7** with a selectivity of 26%. Independently of the total pressure employed in the AHF, increasing the amount of H<sub>2</sub> with respect to CO led to an increase in selectivity toward **5** and a reduction in the amount of isomerization product **7** (see Table S6 and Figure S1 in the Supporting Information).<sup>12</sup> One of the most attractive AHF conditions was obtained at 5 bar with a 4:1 H<sub>2</sub>/CO mixture, under which **7** was not detected and carbalddehyde **5** was formed with a selectivity of 71% with 69% ee (Figure 1).

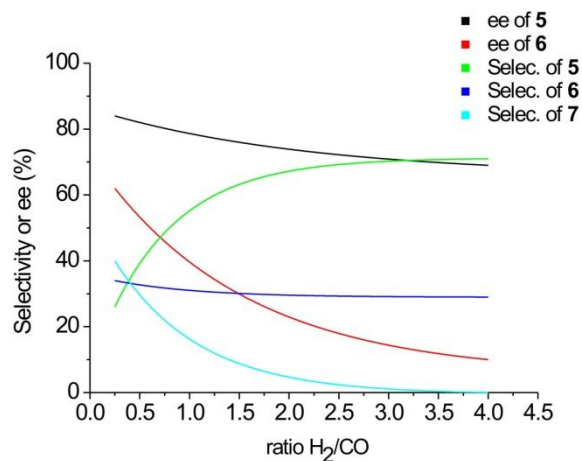
Ligands **L1–3** were also tested in the AHF of six-membered heterocyclic olefins (see Supporting Information for the substrates studied and results obtained). Unfortunately our catalysts were not active in the AHF of these types of compounds. It has already been reported in the literature that these substrates normally require harsh reaction conditions

in AHF, which reduce control of regio- and enantioselectivity.<sup>11</sup>

**Table 3.** AHF of **7** using Rh-complexes of ligands **L1–3** and a set of BA rF salts as RAs.<sup>a</sup>

entry	ligand	RA	conv. (%)	ratio 5:6:7	ee of 5 (%) config.	ee of 6 (%) config.
1		-	7	2:5:93	5 (S)	26 (S)
2		NaBA rF	31	18:13:69	62 (R)	44 (R)
3	( <i>S,S</i> )- <b>L1</b>	KBA rF	23	21:2:77	76 (R)	8 (S)
4		RbBA rF	39	34:5:61	79 (R)	2 (S)
5		CsBA rF	89	57:32:11	56 (R)	6 (S)
6		-	32	12:20:68	13 (S)	16 (S)
7		NaBA rF	40	17:23:60	3 (S)	8 (R)
8	( <i>R,S</i> )- <b>L2</b>	KBA rF	65	52:13:35	81 (R)	20 (R)
9		RbBA rF	57	48:9:43	79 (R)	10 (R)
10		CsBA rF	40	33:7:60	75 (R)	rac
11		-	29	10:19:71	11 (S)	14 (S)
12		NaBA rF	31	11:20:69	24 (S)	15 (S)
13	( <i>S,S</i> )- <b>L3</b>	KBA rF	50	30:20:50	19 (R)	rac
14		RbBA rF	32	22:10:68	44 (R)	13 (S)
15		CsBA rF	28	19:9:73	44 (R)	16 (S)

<sup>a</sup> See footnote a in Table 1 for details, with the following remarks: Reaction conditions are shown in Scheme 3 and the amount of **7** was determined by <sup>1</sup>H NMR.

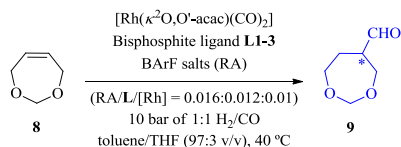


**Figure 1.** AHF of **4** at 5 bar with different syngas mixtures.

Finally, ligands (*S,S*)-**L1**, (*R,S*)-**L2** and (*S,S*)-**L3** were tested in the AHF of *cis*-4,7-dihydro-1,3-dioxepin (**8**) with a set of alkali BA rF salts as RAs (see Scheme 4 and Table 4).

The reactions showed complete regioselectivity toward product **9** (see Table 4 and S8). The use of RAs led to a great improvement in the conversion (up to 82%) and enantioselectivity (up to 79%). The highest enantioselectivities were obtained with combinations (*S,S*)-**L1**/NaBA rF (85% ee), (*R,S*)-

**L2**/KBArF (83% ee), and (*S,S*)-**L3**/RbBArF (74% ee) (entries 2, 5, and 8, respectively, in Table 4). In order to increase the enantioselectivity, these reactions were performed at room temperature (entries 3, 6, and 9 in Table 4). Improvements ranging from 7 to 15% in the ee were observed, with the combination (*R,S*)-**L2**/KBArF being the best for this transformation. Carbaldehyde **9** was obtained with an excellent enantioselectivity (93% ee), which, to the best of our knowledge, is the highest reported for this substrate.<sup>10a</sup>



**Scheme 4.** AHF of **8** mediated by supramolecularly regulated ligands **L1–3**.

**Table 4.** AHF of **8** using Rh-complexes of ligands **L1–3** and a set of BArF salts as RAs.<sup>a</sup>

entry	ligand	RA	conv. (%)	ee of <b>9</b> (%) <sup>b</sup>
1		-	28	6 (+)
2	( <i>S</i> )- <b>L1</b>	NaBArF	>99	85 (+)
3		NaBArF <sup>c</sup>	95	92 (+)
4		-	23	10 (-)
5	( <i>R,S</i> )- <b>L2</b>	KBArF	>99	83 (+)
6		KBArF <sup>c</sup>	>99	93 (+)
7		-	17	rac
8	( <i>S,S</i> )- <b>L3</b>	RbBArF	>99	74 (+)
9		RbBArF <sup>c</sup>	93	89 (+)

<sup>a</sup> See footnote a in Table 1 for details, with the following remarks: Reaction conditions are shown in Scheme 4. <sup>b</sup> Absolute configuration is unknown, and the sign of the optical rotation is provided. <sup>c</sup> Reaction performed at 25 °C.

To elucidate the structure of the catalytic species, complexation experiments between  $[\text{Rh}(\kappa^2\text{O},\text{O}'\text{-acac})(\text{CO})_2]$ , ligand (*R,S*)-**L2**, and one representative RA (KBArF) were performed. These compounds were reacted at 40 °C under 10 bar of 1:1 H<sub>2</sub>/CO. Interestingly, the expected hydrido-dicarbonyl chelate  $[\text{Rh}(\text{H})(\text{CO})_2(\kappa^2\text{P},\text{P}'\text{-KBArF}(\text{R,S})\text{-L2})]$  was efficiently formed.<sup>13</sup> NMR analysis showed the hydrido signal as a broad and partially resolved multiplet centered at -10.38 ppm (<sup>2</sup>J<sub>PH</sub> = 22 Hz). The value of this coupling constant indicates that the system undergoes an equilibrium between two hydrido-dicarbonyl rhodium complexes with the two P-ligating groups coordinated in an equatorial–equatorial or apical–equatorial fashion to a trigonal–bipyramidal rhodium center.<sup>14</sup> The complexation behavior of ligand (*R,S*)-**L2** is different from that of ligand (*S*)-**L1**, which lacks the biaryl core in the regulation site. NMR analysis on the hydrido-dicarbonyl chelate derived from (*S*)-**L1** indicated that the two P-ligating groups coordinated in an equatorial–equatorial fashion<sup>14</sup> (see Experimental Section and Supporting Information). This difference in coordination behavior between ligands with or without the [1,1'-binaphthalene]-2,2'-diol motif in the regulation site could

account for the higher activity of ligand (*R,S*)-**L2** in the AHF of heterocyclic alkenes.

In summary, challenging heterocyclic olefins were efficiently hydroformylated in terms of high regio- and enantioselectivities with supramolecularly regulated rhodium complexes derived from bisphosphite ligands **L1–3**. Small amounts of polyether-binder RAs were shown to regulate the activity of the AHF catalysts. The distribution of the enantiomers was biased in some examples (rhodium complexes of a ligand and an RA enabled up to 86% higher enantioselectivities compared with those obtained in the reactions of the corresponding complexes without an RA). In the AHF of 2,5-dihydrofuran, we have demonstrated the potential of our supramolecularly regulated catalysts, as the use of an alkali metal BArF salt as RA increased enantioselectivity (up to 33% ee), reversed the regioselectivity of the reaction, or completely suppressed the formation of the side-product.

## EXPERIMENTAL SECTION

**General information:** All syntheses were carried out using chemicals as purchased from commercial sources unless otherwise cited. All manipulations and reactions were performed under an inert atmosphere. Glassware was dried in vacuo before use with a hot air gun. All solvents were dried and deoxygenated by using a solvent purification system (SPS). Silica gel 60 (230–400 mesh) or Silica-C18 (200–400 mesh) were used for column chromatography. NMR spectra were recorded in CDCl<sub>3</sub> unless otherwise cited. <sup>1</sup>H and <sup>13</sup>C NMR chemical shifts are quoted in ppm relative to residual solvent peaks, whereas <sup>31</sup>P NMR chemical shifts are quoted in ppm relative to 85% phosphoric acid in water. IR spectra were recorded using attenuated total reflection (ATR) unless otherwise cited. High-resolution mass spectra (HRMS) were recorded using an electrospray ionization (ESI) method in positive mode for the ligands (**L1–3**) and diols ((*R*)-**D2** and (*S*)-**D2**) and matrix-assisted laser desorption ionization (MALDI) in positive mode for  $[\text{Rh}(\text{H})(\text{CO})_2(\kappa^2\text{P},\text{P}'\text{-KBArF}(\text{S})\text{-L1})]$  and  $[\text{Rh}(\text{H})(\text{CO})_2(\kappa^2\text{P},\text{P}'\text{-KBArF}(\text{R,S})\text{-L2})]$ , using, in all cases, a time-of-flight (TOF) detector. Melting points were determined in open capillaries and are uncorrected. Enantiomeric excesses were determined by GC equipped with a FID detector using chiral stationary phases.

**Synthesis of ligand (*S*)-L1:** (*S*)-5,5'-6,6'-Tetramethyl-3,3'-di-*tert*-butyl-1,1'-biphenyl-2,2'-diol (265 mg, 0.75 mmol) was azeotropically dried with toluene (3 × 2 mL), dissolved in anhydrous toluene (ca. 10 mL), and slowly added to a stirred solution of PCl<sub>3</sub> (82.4 μL, 0.94 mmol) and NEt<sub>3</sub> (297 μL, 2.14 mmol) in dry toluene (ca. 10 mL) at 0 °C. The solution was allowed to reach room temperature and was stirred overnight. The turbid reaction mixture was filtered, and the solvent evaporated under reduced pressure. The resulting residue was dissolved in ca. 10 mL of dry toluene and NEt<sub>3</sub> (297 μL, 2.14 mmol). A solution of the tetraethylglycol (66.8 mg, 0.34 mmol in ca. 10 mL of toluene) was slowly added to the previous solution, and the mixture was allowed to react overnight at room temperature. The reaction mixture was filtered, and the solvent was evaporated under reduced pressure. The resulting crude mixture was purified by column chromatography on silica gel C18 using acetonitrile/EtOAc 1:1 as the elution solvent to obtain the expected bisphosphite

ligand (S)-L1 as a white solid. Isolated 312 mg, 83% yield. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ 7.15 (s, 2H), 7.11 (s, 2H), 3.96–3.91 (m, 2H), 3.60–3.55 (m, 8H), 3.54–3.48 (m, 6H), 2.25 (s, 6H), 2.24 (s, 6H), 1.85 (s, 6H), 1.78 (s, 6H), 1.45 (s, 18H), 1.42 (s, 18H). <sup>13</sup>C{<sup>1</sup>H} NMR (CDCl<sub>3</sub>, 125 MHz): δ 145.5, 145.5, 138.2, 138.2, 137.0, 135.1, 134.5, 132.5, 131.9, 131.8, 131.7, 130.8, 130.8, 128.2, 127.9, 71.0, 70.9, 70.7, 63.6, 63.6, 34.8, 34.8, 31.5, 31.5, 31.3, 20.6, 16.9, 16.7. <sup>31</sup>P{<sup>1</sup>H} NMR (CDCl<sub>3</sub>, 202 MHz): δ 132.6 (s). HRMS-ESI-TOF (*m/z*): [M+Na]<sup>+</sup> calcd for C<sub>56</sub>H<sub>80</sub>O<sub>9</sub>P<sub>2</sub>Na, 981.5170; found, 981.5191. [α]<sub>D</sub><sup>25</sup> = +371.8 (c 0.1, DCM). IR (neat, cm<sup>-1</sup>)  $\bar{\nu}$  2963, 2867, 1254, 1027, 870. mp 71.2–72.6 °C.

**Synthesis of ligand (R,S)-L2:** A mixture of (R)-[1,1'-binaphthalene]-2,2'-diol (1.75 g, 6.13 mmol), 2-(2-hydroxyethoxy)ethyl-4-methylbenzenesulfonate<sup>15</sup> (3.19 g, 12.3 mmol), and K<sub>2</sub>CO<sub>3</sub> (3.64 g, 26.3 mmol) in dry acetonitrile (53 mL) was refluxed for 72 h. The reaction mixture was filtered and then concentrated under reduced pressure. The crude product was purified by column chromatography over silica gel (EtOAc/MeOH 95:5 as eluent) to afford the desired product,

(R)-2,2'-(((1,1'-binaphthalene)-2,2'-diylbis(oxy))bis(ethane-2,1-diyl))bis(oxy)diethanol ((R)-D2; see the Supporting Information for the spectra) as a clear brown oil. Isolated 1.33 g, 46% yield. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ 7.95 (d, *J* = 9.1 Hz, 2H), 7.87 (d, *J* = 8.1 Hz, 2H), 7.43 (d, *J* = 9.1 Hz, 2H), 7.35–7.32 (m, 2H), 7.24–7.21 (m, 2H), 7.16 (s, 1H), 7.14 (s, 1H), 4.17–4.13 (m, 2H), 4.03–3.99 (m, 2H), 3.53–3.49 (m, 2H), 3.45–3.38 (m, 6H), 3.22–3.15 (m, 4H), 2.56 (t, *J* = 6.6 Hz, 2H). <sup>13</sup>C{<sup>1</sup>H} NMR (CDCl<sub>3</sub>, 125 MHz): δ 154.4, 134.2, 129.6, 129.5, 128.0, 126.5, 125.6, 123.9, 120.8, 116.1, 72.5, 70.0, 69.7, 61.7. HRMS-ESI-TOF (*m/z*): [M+Na]<sup>+</sup> calcd for C<sub>28</sub>H<sub>30</sub>NaO<sub>6</sub>, 485.1935; found, 485.1923. [α]<sub>D</sub><sup>25</sup> = +43.1 (c 0.1, DCM). IR (neat, cm<sup>-1</sup>)  $\bar{\nu}$  3414, 2927, 2869, 1240, 1054. Ligand (R,S)-L2 was synthesized from (S)-5,5'-6,6'-tetramethyl-3,3'-di-*tert*-butyl-1,1'-biphenyl-2,2'-diol (424 mg, 1.2 mmol), which was azeotropically dried with toluene (3 × 2 mL) under argon atmosphere. The remaining solid was dissolved in anhydrous toluene (ca. 20 mL) and slowly added to a stirred solution of PCl<sub>3</sub> (132 μL, 1.51 mmol) and NEt<sub>3</sub> (476 μL, 3.42 mmol) in dry toluene (ca. 20 mL) at 0 °C. The solution was allowed to reach room temperature and was stirred overnight. The turbid reaction mixture was filtered, and the solvent evaporated under reduced pressure. The resulting residue was dissolved in ca. 20 mL of dry toluene and NEt<sub>3</sub> (476 μL, 3.42 mmol). A solution of the diol (R)-D2 (255 mg, 0.55 mmol in ca. 20 mL of toluene) was slowly added to the previous solution, and the mixture was allowed to react overnight at room temperature. The reaction mixture was filtered, and the solvent evaporated under reduced pressure. The resulting crude mixture was purified by column chromatography on silica gel C18 using acetonitrile/EtOAc 1:1 as the elution solvent to obtain the expected bisphosphite ligand (R,S)-L2 as a white solid. Isolated 554 mg, 82% yield, quantitatively pure by <sup>31</sup>P NMR. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz): δ 7.82 (s, 1H), 7.80 (s, 1H), 7.76 (s, 1H), 7.74 (s, 1H), 7.32 (s, 1H), 7.29 (s, 1H), 7.25–7.24 (m, 1H), 7.23–7.22 (m, 1H), 7.18–7.09 (m, 8H), 4.01–3.99 (m, 4H), 3.56–3.52 (m, 2H), 3.39–3.36 (m, 4H), 3.20–3.14 (m, 2H), 2.94–2.91 (m, 4H), 2.26 (s, 6H), 1.87 (s, 6H), 1.79 (s, 6H), 1.43 (s, 18H), 1.40 (s, 18H). <sup>13</sup>C{<sup>1</sup>H} NMR (CDCl<sub>3</sub>, 125 MHz): δ 154.3, 145.6, 138.2, 137.1, 135.1, 134.4, 134.2, 132.5, 131.9, 131.6, 130.9, 129.5, 129.4, 128.1, 127.9, 127.9, 126.4, 125.6, 123.8, 120.6, 115.7, 70.9, 70.1, 69.5, 63.8, 34.8, 34.8, 31.4, 31.3, 20.6, 17.0, 16.7. <sup>31</sup>P{<sup>1</sup>H} NMR (CDCl<sub>3</sub>, 202 MHz): δ 134.7 (s). HRMS-ESI-TOF (*m/z*): [M+Na]<sup>+</sup> calcd for C<sub>76</sub>H<sub>92</sub>NaO<sub>10</sub>P<sub>2</sub>, 1249.6058; found, 1249.6032. [α]<sub>D</sub><sup>25</sup> = +279.5 (c 0.1, DCM). IR (neat, cm<sup>-1</sup>)  $\bar{\nu}$  2954, 2867, 1227, 1022. mp 107.9–109.3 °C.

(MHz) δ 133.8 (s). HRMS-ESI-TOF (*m/z*): [M+Na]<sup>+</sup> calcd for C<sub>76</sub>H<sub>92</sub>NaO<sub>10</sub>P<sub>2</sub>, 1249.6058; found, 1249.6037. [α]<sub>D</sub><sup>25</sup> = +331.2 (c 0.1, DCM). IR (neat, cm<sup>-1</sup>)  $\bar{\nu}$  2953, 2868, 1227, 1023. mp 89.3–90.7 °C.

**Synthesis of ligand (S,S)-L3:** A mixture of (S)-[1,1'-binaphthalene]-2,2'-diol (2 g, 6.93 mmol), 2-(2-hydroxyethoxy)ethyl-4-methylbenzenesulfonate<sup>15</sup> (3.68 g, 13.9 mmol) and K<sub>2</sub>CO<sub>3</sub> (4.12 g, 29.8 mmol) in dry acetonitrile (60 mL) was refluxed for 72 h. The reaction mixture was filtered and then concentrated under reduced pressure. The crude product was purified by column chromatography over silica gel (EtOAc/MeOH 95:5 as eluent) to afford (S)-2,2'-(((1,1'-binaphthalene)-2,2'-diylbis(oxy))bis(ethane-2,1-diyl))bis(oxy)diethanol ((S)-D2, see the Supporting Information for the spectra) as a clear brown oil. Isolated 1.5 g, 47% yield. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ 7.95 (d, *J* = 9.1 Hz, 2H), 7.87 (d, *J* = 8.1 Hz, 2H), 7.43 (d, *J* = 9.1 Hz, 2H), 7.35–7.32 (m, 2H), 7.24–7.21 (m, 2H), 7.15 (s, 1H), 7.14 (s, 1H), 4.17–4.13 (m, 2H), 4.03–3.99 (m, 2H), 3.53–3.49 (m, 2H), 3.45–3.40 (m, 6H), 3.22–3.15 (m, 4H), 2.53 (br s, 2H). <sup>13</sup>C{<sup>1</sup>H} NMR (CDCl<sub>3</sub>, 125 MHz): δ 154.4, 134.2, 129.6, 129.5, 128.0, 126.5, 125.6, 124.0, 120.8, 116.1, 72.5, 70.0, 69.7, 61.7. HRMS-ESI-TOF (*m/z*): [M+Na]<sup>+</sup> calcd for C<sub>28</sub>H<sub>30</sub>NaO<sub>6</sub>, 485.1935; found, 485.1927. [α]<sub>D</sub><sup>25</sup> = -25.5 (c 0.1, DCM). IR (neat, cm<sup>-1</sup>)  $\bar{\nu}$  3419, 2927, 2869, 1240, 1055. Ligand (S,S)-L3 was synthesized following the general strategy. (S)-5,5'-6,6'-tetramethyl-3,3'-di-*tert*-butyl-1,1'-biphenyl-2,2'-diol (600 mg, 1.7 mmol) was azeotropically dried with toluene (3 × 2 mL) under an argon atmosphere, dissolved in anhydrous toluene (ca. 23 mL), and slowly added to a stirred solution of PCl<sub>3</sub> (186 μL, 2.13 mmol) and NEt<sub>3</sub> (673 μL, 4.84 mmol) in dry toluene (ca. 23 mL) at 0 °C. The solution was allowed to reach room temperature and was stirred overnight. The turbid reaction mixture was filtered, and the solvent evaporated under reduced pressure. The resulting residue was dissolved in ca. 23 mL of dry toluene and NEt<sub>3</sub> (673 μL, 4.84 mmol). A solution of the diol (S)-D2 (360 mg, 0.78 mmol in ca. 23 mL of toluene) was slowly added to the previous solution and allowed to react overnight at room temperature. The reaction mixture was filtered, and the solvent was evaporated under reduced pressure. The resulting crude mixture was purified by column chromatography on silica gel C18 using acetonitrile/EtOAc 1:1 as the elution solvent to provide the expected bisphosphite ligand (S,S)-L3 as a white solid. Isolated 589 mg, 62% yield, quantitatively pure by <sup>31</sup>P NMR. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 500 MHz): δ 7.83 (s, 1H), 7.81 (s, 1H), 7.77 (s, 1H), 7.75 (s, 1H), 7.30 (s, 1H), 7.28 (s, 1H), 7.27–7.24 (m, 2H), 7.17–7.14 (m, 6H), 7.11 (s, 1H), 7.10 (m, 1H), 4.02–3.97 (m, 4H), 3.52–3.46 (m, 2H), 3.43–3.39 (m, 2H), 3.36–3.31 (m, 2H), 3.20–3.14 (m, 2H), 2.94–2.90 (m, 2H), 2.84–2.81 (m, 2H), 2.26 (s, 12H), 1.86 (s, 6H), 1.79 (s, 6H), 1.42 (s, 18H), 1.39 (s, 18H). <sup>13</sup>C{<sup>1</sup>H, <sup>31</sup>P} NMR (CDCl<sub>3</sub>, 125 MHz): δ 154.3, 145.5, 138.2, 137.1, 135.1, 134.4, 134.2, 132.5, 131.9, 131.6, 130.9, 129.5, 129.4, 128.1, 127.9, 127.9, 126.4, 125.6, 123.8, 120.6, 115.7, 70.9, 70.1, 69.5, 63.8, 34.8, 34.8, 31.4, 31.3, 20.6, 17.0, 16.7. <sup>31</sup>P{<sup>1</sup>H} NMR (CDCl<sub>3</sub>, 202 MHz): δ 134.7 (s). HRMS-ESI-TOF (*m/z*): [M+Na]<sup>+</sup> calcd for C<sub>76</sub>H<sub>92</sub>NaO<sub>10</sub>P<sub>2</sub>, 1249.6058; found, 1249.6032. [α]<sub>D</sub><sup>25</sup> = +279.5 (c 0.1, DCM). IR (neat, cm<sup>-1</sup>)  $\bar{\nu}$  2954, 2867, 1227, 1022. mp 107.9–109.3 °C.

**General procedure for Rh-mediated asymmetric hydroformylations**

To a 2 mL vial equipped with a magnetic bar in a glovebox filled with nitrogen were added ligand **L1-3** (ca. 2.7  $\mu\text{mol}$  in 360  $\mu\text{L}$  of toluene), alkali BArF salt (ca. 3.6  $\mu\text{mol}$  in 27  $\mu\text{L}$  of THF), and  $[\text{Rh}(\kappa^2\text{O},\text{O}'\text{-acac})(\text{CO})_2]$  (ca. 2.3  $\mu\text{mol}$  in 65  $\mu\text{L}$  of toluene). Substrate (ca. 230  $\mu\text{mol}$ ) and additional toluene were charged, obtaining the mixture toluene/THF (97:3 v/v) and providing the desired final concentration of substrate, 0.26 M. In the cases of vinyl derivatives (**1a-c**) and styrene (**1d**), *n*-dodecane (ca. 69  $\mu\text{mol}$ ) was added as an internal standard. The vial was transferred into an autoclave and taken out of the glovebox. The autoclave was purged three times with syngas (at a pressure not higher than that required for the reaction) and, finally, the autoclave was pressurized with the corresponding pressure of syngas. The reaction mixture was stirred at 40 °C (water bath) for 18 h. The reaction was cooled, and the pressure was carefully released in a well-ventilated hood.

### Determination of enantiomeric excesses and configuration of hydroformylated products

Characterization of hydroformylation products of **1a-e**, **4**, **7** and **8** have been described previously in the literature, and spectroscopic data were in agreement with those reported.<sup>16</sup> Conversion, regioselectivity and enantiomeric excesses of hydroformylated products of vinyl derivatives (**1a-c**) and styrene (**1d**) were determined by GC from the crude mixtures, using *n*-dodecane as an internal standard, with a  $\beta$ -Dex 225 column (30 m  $\times$  0.25 mm  $\times$  0.25  $\mu\text{m}$ ). Conversion and regioselectivity for (allyloxy)trimethylsilane (**1e**) were determined by <sup>1</sup>H NMR, and the enantiomeric excesses, by GC analysis with  $\beta$ -Dex 225 column (30 m  $\times$  0.25 mm  $\times$  0.25  $\mu\text{m}$ ) from the crude reaction mixture. Conversion and isomerization of 2,5-dihydrofuran (**4**) and 2,3-dihydrofuran (**7**) were determined by <sup>1</sup>H NMR, and the enantiomeric excesses, by GC analysis with  $\beta$ -Dex 225 column (30 m  $\times$  0.25 mm  $\times$  0.25  $\mu\text{m}$ ) from the crude reaction mixture. Conversion and regioselectivity of *cis*-4,7-dihydro-1,3-dioxepin (**8**) were determined by <sup>1</sup>H NMR, and enantiomeric excesses, by GC from the crude mixture with a  $\beta$ -Dex 225 column (30 m  $\times$  0.25 mm  $\times$  0.25  $\mu\text{m}$ ). Optical rotation of aldehyde **9** ( $[\alpha]_{\text{D}}^{25} = +70.2$  (c 0.1, THF)) was determined from the reaction mixture with a 93% ee (entry 6 in Table 4) distilling in a Kugelrohr apparatus (40 °C,  $5 \times 10^{-2}$  mbar), obtaining aldehyde **9** with a final enantiomeric excess of 91% ee (<sup>1</sup>H NMR spectrum and GC chromatogram are available in the Supporting Information).

Vinyl acetate (**1a**):<sup>17</sup> Temperature program: 100 °C for 5 min then 4 °C/min to 160 °C. Retention times: 2.5 min for **1a**, 7.2 min for (*R*)-**2a**, 9.1 min for (*S*)-**2a**, and 12.2 min for **3a**.

Vinyl propionate (**1b**):<sup>16a</sup> Temperature program: Isothermal 100 °C. Retention times: 2.8 min for **1b**, 9.1 min for (*R*)-**2b**, 10.5 min for (*S*)-**2b**, and 21.1 min for **3b**.

Vinyl benzoate (**1c**):<sup>16a</sup> Temperature program: Isothermal 135 °C. Retention times: 6.6 min for **1c**, 25.3 min for (*R*)-**2c**, 27.6 min for (*S*)-**2c**, and 57.3 min for **3c**.

Styrene (**1d**):<sup>17</sup> Temperature program: 100 °C for 5 min then 4 °C/min to 160 °C. Retention times: 4.6 min for **1d**, 12.5 min for (*R*)-**2d**, 12.8 min for (*S*)-**2d**, and 16.3 min for **3d**.

(Allyloxy)trimethylsilane (**1e**):<sup>16d</sup> Temperature program: Isothermal 70 °C. Retention times: 24.5 min for (*R*)-**2e**, and 25.6 min for (*S*)-**2e**.

2,5-dihydrofuran (**4**) and 2,3-dihydrofuran (**7**):<sup>9</sup> Temperature program: 40 °C for 5 min, 5 °C/min to 150 °C, hold for 1 min, and then 10 °C/min to 210 °C. Retention times: 22.7 min for (*R*)-**5**, and 23.3 min for (*S*)-**5**, 24.7 min for (*S*)-**6**, and 25.6 min for (*R*)-**6**.

*cis*-4,7-dihydro-1,3-dioxepin (**8**): Temperature program: 40 °C, hold for 5 min, 2 °C/min to 150 °C, hold for 1 min, and then 10 °C/min to 210 °C. Retention times: 48.1 min for (+)-**9** and 49.1 min for (-)-**9**.<sup>18</sup>

### Direct formation of $[\text{Rh}(\text{H})(\text{CO})_2(\kappa^2\text{P},\text{P}'\text{-KBArF}(\text{S})\text{-L1})]$

A 0.03 M solution of (*S*)-**L1** (12.2 mg, 12.7  $\mu\text{mol}$ ),  $[\text{Rh}(\kappa^2\text{O},\text{O}'\text{-acac})(\text{CO})_2]$  (3.31 mg, 12.7  $\mu\text{mol}$ ) and KBArF (14.2 mg, 16.5  $\mu\text{mol}$ ) in  $\text{C}_7\text{D}_8/\text{C}_4\text{D}_8\text{O}$  (97:3 v/v) were transferred to a 25 ml autoclave reactor, which was pressurized to 10 bar of syngas (1:1  $\text{H}_2/\text{CO}$ ) and warmed to 40 °C. The mixture was allowed to stir for 2 h. The reactor was cooled to room temperature and depressurized in a well-ventilated fume hood, and the reaction mixture was transferred to 5 mm HP-NMR sapphire tube. The tube was pressurized with syngas (1:1  $\text{H}_2/\text{CO}$ , 10 bar) and the HP-NMR spectra were collected at 25 °C (see Supporting Information). MS samples were immediately recorded under  $\text{N}_2$  after depressurizing the autoclave. Spectroscopic data obtained from this solution was in agreement with the quantitative formation of the  $[\text{Rh}(\text{H})(\text{CO})_2(\kappa^2\text{P},\text{P}'\text{-KBArF}(\text{S})\text{-L1})]$  complex: <sup>1</sup>H NMR ( $\text{C}_7\text{D}_8/\text{C}_4\text{D}_8\text{O}$  (97:3 v/v), 500 MHz):  $\delta$  7.23 (s, 2H), 7.16 (s, 2H), 3.90–3.88 (m, 2H), 3.37–3.34 (m, 2H), 2.95–2.85 (m, 10H), 2.67–2.64 (m, 2H), 2.11 (s, 6H), 1.98 (s, 6H), 1.65 (s, 6H), 1.58 (s, 6H), 1.52 (s, 18H), 1.48 (s, 18H), -10.83 (d,  $J_{\text{PH}} = 4.8$  Hz, 1H). <sup>13</sup>C{<sup>1</sup>H} NMR ( $\text{C}_7\text{D}_8/\text{C}_4\text{D}_8\text{O}$  (97:3 v/v), 125 MHz):  $\delta$  191.2, 147.6, 144.5, 136.1, 136.0, 135.7, 134.9, 133.7, 133.5, 130.6, 130.3, 130.0, 129.8, 129.6, 129.1, 126.4, 118.7, 69.6, 69.5, 69.4, 67.0, 35.6, 34.9, 33.0, 31.2, 20.2, 20.0, 16.3, 16.3. <sup>31</sup>P NMR ( $\text{C}_7\text{D}_8/\text{C}_4\text{D}_8\text{O}$  (97:3 v/v), 202 MHz):  $\delta$  142.6 (d,  $J_{\text{RHP}} = 242.9$  Hz). HMRS-MALDI-TOF ( $m/z$ ):  $[\text{M}-\text{H}-\text{CO}-\text{K}]^+$  calcd for  $\text{C}_{57}\text{H}_{80}\text{O}_{10}\text{P}_2\text{Rh}$ , 1089.4276, found, 1089.4264. Attempts to isolate this complex in analytically pure form failed.

### Direct formation of $[\text{Rh}(\text{H})(\text{CO})_2(\kappa^2\text{P},\text{P}'\text{-KBArF}(\text{R},\text{S})\text{-L2})]$

A 0.02 M solution of (*R,S*)-**L2** (11.6 mg, 9.47  $\mu\text{mol}$ ),  $[\text{Rh}(\kappa^2\text{O},\text{O}'\text{-acac})(\text{CO})_2]$  (2.47 mg, 9.47  $\mu\text{mol}$ ), and KBArF (10.6 mg, 12.3  $\mu\text{mol}$ ) in  $\text{C}_7\text{D}_8/\text{C}_4\text{D}_8\text{O}$  (97:3 v/v) were transferred to a 25 ml autoclave reactor, which was pressurized to 10 bar of syngas (1:1  $\text{H}_2/\text{CO}$ ) and warmed to 40 °C. The mixture was allowed to stir for 2 h. The reactor was cooled to room temperature and depressurized in a well-ventilated fume hood, and the reaction mixture was transferred to 5 mm HP-NMR sapphire tube. The tube was pressurized with syngas (1:1  $\text{H}_2/\text{CO}$ , 10 bar) and the HP-NMR spectra were collected at 25 °C (see Supporting Information). MS samples were immediately recorded under  $\text{N}_2$  after depressurizing the autoclave. Spectroscopic data obtained from this solution was in agreement with the quantitative formation of the  $[\text{Rh}(\text{H})(\text{CO})_2(\kappa^2\text{P},\text{P}'\text{-KBArF}(\text{R},\text{S})\text{-L2})]$  complex: <sup>1</sup>H NMR ( $\text{C}_7\text{D}_8/\text{C}_4\text{D}_8\text{O}$  (97:3 v/v), 500 MHz):  $\delta$  7.79 (s, 1H), 7.77 (s, 1H), 7.66 (s, 4H), 7.25 (s, 1H), 7.23 (s, 3H), 7.20–7.17 (m, 2H), 7.15 (s, 2H), 7.00 (s, 2H), 3.94–3.92 (m, 2H), 3.51–3.48 (m, 2H), 3.26–3.22 (m, 2H), 3.07–2.97 (m, 4H), 2.75–2.72 (m, 2H), 2.37–2.35 (m, 2H), 2.24–2.22 (m, 2H), 2.11 (s, 6H), 2.00 (s, 6H), 1.64 (s, 6H), 1.63 (s, 6H), 1.51 (s, 18H), 1.43 (s, 18H), -10.38 (br

s, 1H).  $^{13}\text{C}\{^1\text{H}\}$  NMR ( $\text{C}_7\text{D}_8/\text{C}_4\text{D}_8\text{O}$  (97:3 v/v), 125 MHz):  $\delta$  191.1, 154.0, 146.9, 144.3, 136.1, 136.1, 134.4, 133.8, 133.6, 131.5, 131.4, 131.0, 130.0, 130.0, 129.8, 126.4, 126.1, 125.8, 124.2, 123.3, 122.0, 119.6, 71.1, 70.4, 69.7, 66.6, 35.6, 34.8, 32.9, 31.1, 20.1, 20.0, 16.4, 16.3.  $^{31}\text{P}$  NMR ( $\text{C}_7\text{D}_8/\text{C}_4\text{D}_8\text{O}$  (97:3 v/v), 202 MHz):  $\delta$  143.8 (dd,  $J_{\text{RhP}} = 234.4$  Hz;  $J_{\text{PH}} = 22.8$  Hz). HMRS-MALDI-TOF ( $m/z$ ):  $[\text{M}-\text{H}-\text{CO}-\text{K}]^+$  calcd for  $\text{C}_{77}\text{H}_{92}\text{O}_{11}\text{P}_2\text{Rh}$ , 1357.5164, found, 1357.5135. Attempts to isolate this complex in analytically pure form failed.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the [ACS Publications website](https://pubs.acs.org) at DOI: [10.1021/acs.joc.5b01805](https://doi.org/10.1021/acs.joc.5b01805). Extended tables of AHF reactions, NMR spectra, and chromatograms on chiral stationary phases (PDF).

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### Notes

The authors declare no competing financial interest.

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