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Molecular basis for the recognition of higher fullerenes into ureidopyrimidinone-cyclotriveratrylene self-assembled capsules

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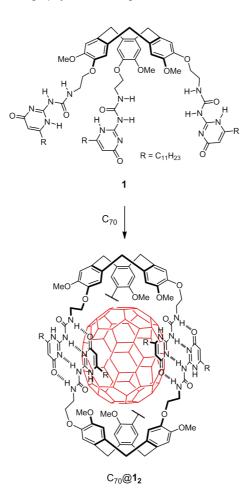
Abstract: Fullerenes C_{60} , C_{70} , and C_{84} may be readily encaged within a hydrogen-bonded dimeric capsule, based on two concave cyclotriveratrylene (CTV) scaffolds each bearing three self-complementary 2-ureido-4-[*1H*]-pyrimidinone (UPy) subunits. We herein report NMR and CD studies—complemented by dispersion-corrected density functional theory calculations—aiming to characterize such capsule-fullerene complexes both structurally and energetically. Six fullerenes are considered: in agreement with experiment, calculations find that encapsulation is most favorable for C_{84} (on a par with C_{90}), and follows the trend $C_{60}< C_{70}< C_{76}< C_{84}\approx C_{90}$. As also found by NMR, the most stable capsules are formed by monomers of identical stereochemistry; with UPy and the CTV's OMe groups in mutual *anti* orientation; and UPy-CTV ethylene linkers within the capsular surface plane. Up to C_{78} , encapsulation is favored by increasing host-guest contacts, but the trend is thereafter increasingly inhibited by growing capsule strain.

Introduction

Despite the widespread interest in fullerenes^[1] and endohedral fullerenes,^[2] the chemistry and properties of higher members of this family of carbon allotropes (known as 'higher fullerenes'; >C₇₀) remains mostly unexplored, mainly due to their low abundance, poor solubility and difficult separation. To date, the most efficient method to purify higher fullerenes is by multiple runs of recycling HPLC.^[3] Most of the alternative methods for fullerene separation and purification (*i.e* by sublimation,^[4] reversible chemical reactions,^[5] selective complexation with Lewis acids,^[6] or host-guest chemistry)^[7] are only selective towards the major component C₆₀; examples in literature where C₇₀ or higher fullerenes are preferred are scarce.^[8] Although preferential precipitation of C₇₀ over C₆₀ has indeed been reported with *p*-halohomooxacalix[3]arenes, subsequent release of the fullerene and retrieval of the valuable host proved difficult due to the complex's high stability.^[9]

In the case of higher fullerenes, some successful and elegant examples have been described over the past decade.^[10] For example, Fukazawa *et al.*^[11] have employed a double calix[5]arene container to extract C_{94} and C_{96} from fullerene mixtures in an elegant way. At temperatures above 100 °C, the container undergoes a *syn-anti* isomerization (from the C-shaped conformer to its S-shaped counterpart), causing the trapped fullerenes to be released. In another approach by Aida *et al.*^[12] macrocyclic zinc

porphyrin dimers were employed for direct extraction of $\geq C_{76}$ species from fullerene mixtures: after repeated extractions, this allowed enrichment with the rare fullerenes $C_{102} - C_{110}$. Recently, the same group reported the enantioselective extraction of the chiral fullerene C_{76} (7% e.e.) from a racemic mixture, using an asymmetrically distorted porphyrin dimer.^[13] Despite the inherent elegance of these processes, all of them require chromatography at some stage.



Scheme 1. Structural formula of the capsule monomer 1 (top); and the hydrogen-bonded homochiral capsule 1_2 with a C_{70} guest (red; bottom). In the latter structure, one of the three UPy dimers is omitted for clarity.

We have previously conceived and synthesized a hydrogen-bonded, self-assembled dimeric capsule 1_2 that readily encapsulates fullerenes such as C_{60} , C_{70} ,^[14] and $C_{84}^{[15]}$ (Scheme 1). Each monomer **1** is constituted by a modified concave cyclotriveratrylene (CTV) platform, known for its complementarity to the convex surface of C_{60} .^[16] This CTV is endowed with three short chains bearing 2-ureido-4-[1*H*]-pyrimidinone (UPy) moieties:^[17] each of these can achieve dimerization with a UPy moiety belonging to another monomer **1** through a robust quadruple array of hydrogen bonds (Scheme 1 and Fig. 1), providing a total of 12 favorable hydrogen bonds in the resulting dimer 1_2 . Single solid-liquid extractions of crude fullerene mixtures (fullerite) with solutions of the capsule in tetrahydrofuran (THF) promote selective encapsulation of fullerenes, which can then be easily separated from the remaining fullerenes by filtration. Subsequent addition of some trifluoroacetic acid to the solution breaks the hydrogen bonds, rupturing the capsules and allowing encapsulated guests to precipitate. Recycling of the host is simply achieved by evaporation. Remarkably, no chromatography or tedious separation procedures are thus necessary with this method.

We herein present a detailed computational study, featuring dispersion-corrected^[18] density functional theory (DFT), with the aim of uncovering those energetic and structural factors that, at a molecular level, govern the formation of the most stable host-guest complexes. Our *in silico* results are crucially supported by experimental data.

Indeed, on top of accurately reproducing experimentally observed capsule selectivity towards fullerenes (preference for C_{84} over C_{70} , and even more over C_{60}),^[14-15] our calculations are also able to correctly predict the preferred structural conformation of the

1₂ capsules as detected by NMR. Selectivity trends found by our calculations and previous experiments are here furthermore reconfirmed by racemization studies featuring CD spectroscopy.

We structure our discussion as follows: we begin by outlining the NMR characterization of the various capsule conformers and tautomers, also discussing some key structural features of the capsules. We subsequently present the outcome of our DFT calculations, whereby energetic and structural implications of capsule-fullerene complex formation are analysed in detail, and in relation to six fullerene sizes (C_{60} , C_{70} , C_{76} , C_{78} , C_{84} , and C_{90}) and several 1_2 conformers. In the closing part of the discussion, we report our racemization study based on CD spectroscopy. We finally provide our conclusions.

Results and Discussion

NMR Characterization

Before proceeding with the theoretical study, a number of structural aspects of the fullerene@ 1_2 complexes had to be verified by ¹H NMR, to help pinpoint their experimentally preferred conformations. These structural aspects and their assessment by NMR are discussed in the following subsections; related methodological details are reported as *Supporting Information*.

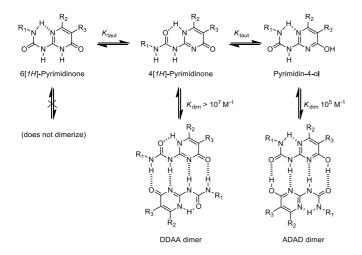


Figure 1. Tautomers and dimers formed by 2-ureidopyrimidinone moieties.

Tautomerism: In solution, ureidopyrimidinones exist in a tautomeric equilibrium (Fig. 1), but only the 4[*1H*]-pyrimidinone and the pyrimidyl-4-ol tautomers are able to self-assemble as dimers in apolar solvents; the former is usually the more stable of the two, since it contains a particularly favorable quadruple sequence DDAA of hydrogen bond donors (D) and acceptors (A).^[17] Experimentally, structure **1**₂ displayed the classical NMR pattern of the 4[*1H*]-pyrimidinone tautomer (NH signals at 12.77, 12.03, and 10.66 ppm, see Fig. S3 in *Supporting Information*), whereas no signals for pyrimidin-4-ol dimers were observed;^[19] we therefore only considered the 4[*1H*]-pyrimidinone DDAA tautomer in our calculations.

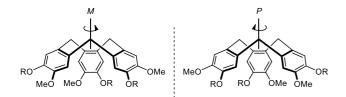


Figure 2. The two enantiomeric forms of chiral cyclotriveratrylene (CTV).

Chirality: CTV scaffolds containing three OMe and three OR groups are chiral^[20] due to their bowl shaped architecture and their slow inversion (Fig. 2)): if the CTV dome is oriented upwards and the UPy groups point downwards, *M* chirality arises when the UPyO-(aromatic ring)-OMe sequence is encountered in the counterclockwise direction; *P* chirality when it is encountered clockwise. Consequently, self-assembled capsules 1_2 may either be homochiral (*i.e.* formed by a racemic pair of *M-M* monomers or *P-P* monomers), or *meso* (achiral, where one of the monomers is *M*, and the other is *P*).

The prevalence of homochiral over achiral (*meso*) capsules was demonstrated experimentally by ¹H NMR. Initially, compound **1** was resolved into its two enantiomers by semi-preparative chiral HPLC in dichloromethane/methanol 9:1 (+0.1% TFA). Once isolated, the CD spectra of the two major peaks in the HPLC chromatogram (named arbitrarily fraction I and fraction II, see Fig. S1 in *Supporting Information*) showed mirror images, clearly indicating that they correspond to the two enantiomeric species (*Supporting Information*, Fig. S2). Then, after adding 0.5 equivalents of C₇₀ to ensure capsule formation, ¹H-NMR spectra were recorded: of the racemic mixture; of each of the pure enantiomers; and of a 1:1 mixture of the two.

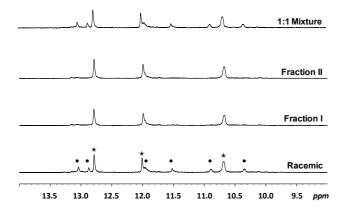


Figure 3. ¹H NMR spectra (NH region) of racemic capsules 1_2 ; enantiopure homochiral Fractions (I and II); and the 1:1 mixture of the two; all after the addition of C_{70} . (\star) denotes NH signals corresponding to homochiral capsules; (\cdot) denotes NH signals corresponding to *meso* self-assembled capsules.

The NH region of each homochiral fraction in the presence of C_{70} showed only three downfield shifted signals, as expected from the high symmetry of the complex and from the strong hydrogen bonds present (Fig. 3). On the contrary, adding C_{70} to the racemic mixture of **1** led to the appearance of additional minor NMR signals, corresponding to non-equivalent NH protons of *meso* **1**₂. Upon mixing both homochiral fractions of **1**, the spectrum obtained in the presence of C_{70} was identical to that of the capsule resulting from the racemic mixture. It was therefore concluded that homochiral capsules prevail in solution over *meso* capsules, in a ratio of *ca*. 7:3.

We finally note that preliminary ¹H NMR spectra of a 1:2 mixture of racemic $C_{76}^{[1d]}$ and racemic monomers **1** display a unique set of downfield-shifted signals.^[21] This suggests that monomers of **1** are driven to self-assemble into homochiral capsules only (*Supporting Information*, Fig. S6), with a particular homochiral capsule possibly being favored by a particular enantiomer of C_{76} .

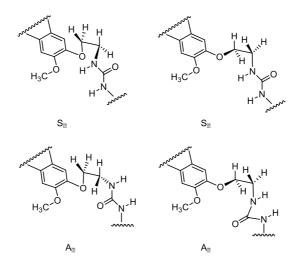


Figure 4. Possible conformations of the chain linking the CTV and UPy moieties (α or β); combined with the different possible orientations of CTV and UPy with respect to each other (A or S). See text for details.

Orientation and conformation of CTV-UPy linkers: Upon fullerene encapsulation, the three O-CH₂CH₂-N chains linking the CTV and UPy moieties on each **1** (six in total) may adopt one of two possible conformations (Fig. 4). Inspection of a simple CPK capsule-fullerene model reveals that the dihedral angle C(OMe)-C-O-CH₂ in the six linkers could either be at *ca.* 90° (pointing away from the capsule surface, in an orientation that we will henceforth denote as ' α '), or at 180° (in the plane of the capsule surface, henceforth ' β '). A hypothetical conformation at 0° would on the other hand be sterically inaccessible. Similarly, the dihedral angle about the six CH₂-CH₂ bonds (O-CH₂-CH₂-N) must be *gauche* in order to provide **1**₂ with the necessary curvature to envelop the almost spherical guest: an *anti* conformation would instead project the hydrogen-bonded platform away from the fullerene surface. In addition, as also illustrated in Fig. 4, urea NH protons on each UPy could either point away from the OCH₃ group of the CTV scaffold (we will refer to this as an A or *anti* orientation), or in the same direction (S or *syn* orientation).

All these elements considered, the four most likely conformations of homochiral $\mathbf{1}_2$ should be $S_\alpha S_\alpha$, $S_\beta S_\beta$, $A_\alpha A_\alpha$, and $A_\beta A_\beta$. It also follows that, to maintain hydrogen bonding between their UPy moieties, *meso* capsules must adopt one of the three conformations $A_\alpha S_\alpha$, $A_\alpha S_\beta$, and $A_\beta S_\beta$ being inaccessible).

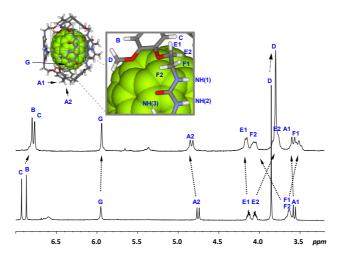


Figure 5. ¹H-NMR spectra (CDCl₃, 400 MHz, 3.2-7.0 ppm region shown) accounting for the $A_{\beta}A_{\beta}$ chain conformation (as shown in model). Bottom spectrum: free monomeric host (1 + TFA). Top spectrum: homochiral dimer with C_{70} (C_{70} @1₂).

Through the use of different NMR techniques, as discussed below, it was possible to establish that conformation $A_{\beta}A_{\beta}$ prevails in solution. All signals were unequivocally assigned by a complement of 2D and 3D (COSY and HSQC) NMR methods (see *Supporting Information*, Figs. S3 to S5). Aside from the typical deshielding of the three NH signals of each monomer, accounting for a strong hydrogen bonded network (see Fig. 3), the central part of the NMR spectrum is indicative of linker conformation α or β . Upon encapsulation of C₇₀, several proton signals corresponding to the O-CH₂-CH₂-N linker (Fig. 5) did indeed undergo clear upfield or downfield shifts

One of the CH₂ protons adjacent to the nitrogen (namely F2), shows an intense vicinal coupling with the proton of the urea, compatible with their mutual *anti* orientation where the coupling constant reaches a maximum value,^[22] and is at the same time strongly deshielded due to its proximity to the carbonyl group. On the contrary, protons C, D, E2, F1, and G, close to the fullerene surface, are shielded. Proton E1, pointing away, clearly remains unaffected. These anisotropic effects are fully compatible with all six UPy-CTV linkers in **1**₂ adopting the β conformation.

On the other hand, the *anti* (A) rather than *syn* (S) orientation of NH protons and methoxy groups was established by means of NOESY experiments (Fig. 6). As *per* the $A_{\beta}A_{\beta}$ model shown in Fig. 6a, one would expect methoxy protons in CTV (labeled D) to show spatial coupling both with pyrimidinone protons in UPy (labeled G), and with those in the first CH₂ of the C₁₁H₂₃ chain (labelled H). On the contrary, in S_βS_β (model in Fig. 6b) spatial coupling would only be expected between D and G protons alone, with H protons in the aliphatic chain being too far to show any spatial coupling. Indeed, the NOESY spectrum of the homochiral C₇₀@1₂ complex reveals coupling between the spins of all three classes of protons, indicating *anti* orientation and, hence, A_βA_β.

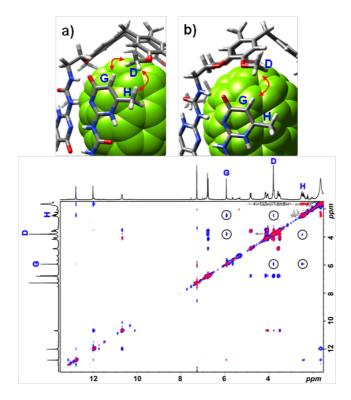


Figure 6. Detail of a) $A_{\beta}A_{\beta}$ and b) $S_{\beta}S_{\beta}$ models showing the spatial proximity of D, G and H protons (top), and partial NOESY (400 MHz, CDCl₃, in blue) spectrum of the homochiral $C_{70}@1_2$ complex (bottom). H-H COSY (in red) is overlaid for clarity.

Theoretical Studies

Encapsulation energy components: Encapsulation of C_{60} , C_{70} , C_{76} , C_{78} , C_{84} and C_{90} inside **1**₂ was investigated energetically (*vide infra*) by carrying out a series of theoretical calculations with the program *ADF* (v. 2013.01),^[23] using density functional theory (DFT) together with dispersion corrections (details in *Supporting Information*).^[18] Coordinates of all optimized fullerene@**1**₂ structures are also available as *Supporting Information*; finally, all calculations reported here are also entirely available on-line.^[24]

For the higher fullerenes C_{76} , C_{78} , and C_{84} , we choose the isomers that are reported to have the highest experimental abundance:^[25] D_2 (one enantiomer only);^[24] $C_{2\nu}$; and D_2 respectively. In the case of C_{90} , we choose its D_{5h} isomer in virtue of its higher symmetry; we also note that this is the most abundant C_{90} isomer occurring in soot generated from Sm_2O_3 -doped graphite rods.^[26]

To begin with (Table 1), C_{60} and C_{70} are independently considered inside all of the seven accessible capsule conformers (four homochiral, three *meso*, as explained earlier). However, for C_{76} , C_{78} , C_{84} , and C_{90} complexes, investigation is subsequently limited to the five $\mathbf{1}_2$ conformers that are shown in Table 1 to yield the most favorable encapsulation energies with C_{70} . Data for four of these ($A_{\beta}A_{\beta}$, $A_{\alpha}A_{\alpha}$, $S_{\beta}S_{\beta}$, $A_{\alpha}S_{\beta}$) are plotted in Fig. 7 jointly with some of the data from Table 1, and are fully discussed below; data for the fifth conformer ($A_{\beta}S_{\alpha}$) are only plotted in Fig. S7 (*Supporting Information*) for completeness. We note that in the same Fig. S7, for better visualization, we have also plotted all of the data in Table 1. In practice, omitting conformers $A_{\alpha}S_{\alpha}$ and $S_{\alpha}S_{\alpha}$ from the possible complexes of C_{76} @ $\mathbf{1}_2$ to C_{90} @ $\mathbf{1}_2$ conveniently enabled us to reduce the overall number of species considered from 42 to 34.

| HOSI | Guest | ΔE_{HBonds} | ΔE_{rearr} | ΔEH-G | ΔE_{tot} |
|--------------|-------|---------------------|--------------------|-------|------------------|
| Conformation | Guesi | | Δ∟rearr | ΔLH-G | |

| $A_{\beta}A_{\beta}$ | C ₆₀ | -119.9 | 27.7 | -98.2 | -190.4 |
|------------------------|-----------------|--------|------|--------|--------|
| | C ₇₀ | -116.2 | 21.6 | -119.5 | -214.1 |
| $A_{\alpha}A_{\alpha}$ | C ₆₀ | -110.5 | 24.4 | -100.4 | -186.5 |
| | C ₇₀ | -107.5 | 20.7 | -122.2 | -209.1 |
| $A_\beta S_\alpha$ | C ₆₀ | -112.2 | 31.8 | -97.2 | -177.6 |
| | C ₇₀ | -110.0 | 24.3 | -117.3 | -203.0 |
| $S_{\alpha}S_{\alpha}$ | C ₆₀ | -107.2 | 33.0 | -88.7 | -162.8 |
| | C ₇₀ | -106.6 | 29.7 | -109.2 | -186.2 |
| $S_{\beta}S_{\beta}$ | C ₆₀ | -100.9 | 22.5 | -103.2 | -181.6 |
| | C ₇₀ | -99.4 | 18.7 | -127.3 | -208.1 |
| $A_{\alpha}S_{\alpha}$ | C ₆₀ | -108.9 | 27.4 | -93.8 | -175.2 |
| | C ₇₀ | -108.3 | 23.2 | -113.2 | -198.2 |
| $A_{\alpha}S_{\beta}$ | C ₆₀ | -97.2 | 27.8 | -105.6 | -175.1 |
| | C ₇₀ | -105.0 | 19.8 | -122.8 | -208.1 |
| | | | | | |

To facilitate its quantification, the total energy released upon host-guest encapsulation (ΔE_{tot}) is broken up into three smaller components (Eq. 1),

 $\Delta E_{tot} = \Delta E_{H-G} + \Delta E_{HBonds} + \Delta E_{rearr}$ (1)

each of which is determined separately as defined and discussed below.

We begin by determining the host-guest interaction energy (ΔE_{H-G}) for each complex. This is the energy released when a free fullerene is encapsulated in a pre-formed, empty $\mathbf{1}_2$ capsule to form a full fullerene@ $\mathbf{1}_2$ complex. The procedure first requires structural optimization of the full fullerene@ $\mathbf{1}_2$ complex; and single-point energy calculations of both the free fullerene and the empty $\mathbf{1}_2$ capsule.^[28] Hence, ΔE_{H-G} is computed by subtracting the binding energies of the free fullerene and empty capsule from that of optimized fullerene@ $\mathbf{1}_2$.

We should here point out that use of D3(BJ) dispersion corrections in our calculations,^[18] as well as implicit inclusion of THF effects using the *conductor-like screening model* (COSMO; details in *Supporting Information*),^[27] were both considered essential to achieve a correct treatment of the π - π interactions that dominate ΔE_{H-G} . In fact, all of the calculated values for ΔE_{H-G} are expectedly large and negative (attractive). Our goal in this study is merely that of qualitatively comparing relative host-fullerene stabilities, rather than quantifying association constants. Nonetheless, we should still note that Grimme, Antony, and Sure additionally recommend to include entropic contributions when treating supramolecular complexes of this kind.^[29]

We next compute ΔE_{HBonds} . This is the energy released by the formation of 12 hydrogen bonds, which occurs when any two individual CTV-UPy monomers 1, already rearranged (deformed) into their respective capsule conformations (*i.e.* S or A; α or β), combine to form an empty capsule 1₂. Quantification of ΔE_{HBonds} , which is also always found to be negative, only requires additional single-point energy calculations to be separately carried out on the two instances of 1 composing 1₂, still in their deformed states; binding energies thus obtained are simply subtracted from the binding energy of 1₂, which is already known from the calculation of ΔE_{H-G} .

The final step is the calculation of ΔE_{rearr} , which represents the energetic cost of rearranging (deforming) the two instances of 1 from their optimal state in solution to the conformation they will adopt to form 1₂. Quantification of ΔE_{rearr} thus requires both half-capsules to be fully and separately optimized. The value is obtained by subtracting the binding energy of each optimized 1 from that of its rearranged (unoptimized) counterpart, and is the only component of ΔE_{tot} to have a positive value. It also follows from this that the sum of ΔE_{HBonds} and ΔE_{rearr} actually determines the total energy released upon formation of an empty capsule.

Energy studies on complexes of C₆₀ and C₇₀: We begin by commenting values and trends in detail for C₆₀ and C₇₀ (Table 1; leftmost points in Fig. 7); then proceed to examine them for remaining fullerenes (Fig. 7) from a more general point of view.

Turning first to ΔE_{rearr} values in Table 1, we find that, for encapsulation of both C_{60} and C_{70} , monomers composing the $S_{\beta}S_{\beta}$ capsule have the lowest cost of deformation. In the case of $C_{60}@1_2$, these are followed by $A_{\alpha}A_{\alpha}$ capsule monomers, and, in third place, by monomers composing $A_{\alpha}S_{\alpha}$, $A_{\beta}A_{\beta}$, and $A_{\alpha}S_{\beta}$ (all bearing similar deformation costs). For $C_{70}@1_2$, on the other hand, $A_{\alpha}A_{\alpha}$ capsule monomers are beaten into third place by $A_{\alpha}S_{\beta}$ capsule monomers, whose ΔE_{rearr} drops more markedly. The highest cost of rearrangement is instead borne by monomers of the $S_{\alpha}S_{\alpha}$ capsule, both in the case of C_{60} and in the case of C_{70} .

In terms of ΔE_{HBonds} (*cf.* Table 1 again), the most favorable values for $C_{60}@1_2$ and $C_{70}@1_2$ complexes are found when 1_2 is in $A_{\beta}A_{\beta}$ conformation, followed by values for 1_2 in the $A_{\beta}S_{\alpha}$ conformation (*ca.* 6-8 kcal mol⁻¹ higher). The third most favorable ΔE_{HBonds} values are for 1_2 in the $A_{\alpha}A_{\alpha}$ conformation in the case of $C_{60}@1_2$, and in the $A_{\alpha}S_{\alpha}$ conformation in the case of $C_{70}@1_2$. On the other hand, the most unfavorable ΔE_{HBonds} values are found for 1_2 in the $A_{\alpha}S_{\beta}$ and $S_{\beta}S_{\beta}$ conformations.

The most favorable ΔE_{H-G} values in the case of C_{60} and C_{70} (Table 1) were obtained for their interactions with the $A_{\alpha}S_{\beta}$ and $S_{\beta}S_{\beta}$ capsules, with the former prevailing over the latter in the case of C_{60} , and *vice versa* in the case of C_{70} . At third place for both fullerenes are the ΔE_{H-G} values calculated for the homochiral capsule $A_{\alpha}A_{\alpha}$; the least favorable host-guest contacts are made in the $S_{\alpha}S_{\alpha}$ capsule.

Thus, upon summing the three components as *per* Eq. 1, the most favorable (negative) ΔE_{tot} overall for both C_{60} @1₂ and C_{70} @1₂ is obtained with the capsule $A_{\beta}A_{\beta}$, aided by its moderate-to-strong favorability in ΔE_{H-G} and ΔE_{rearr} . The second-best capsule for both fullerenes is the *syn* counterpart $S_{\beta}S_{\beta}$, followed by $A_{\alpha}A_{\alpha}$ in the case of C_{60} and $A_{\alpha}S_{\beta}$ in the case of C_{70} (the sole occurrence of a *meso* capsule).

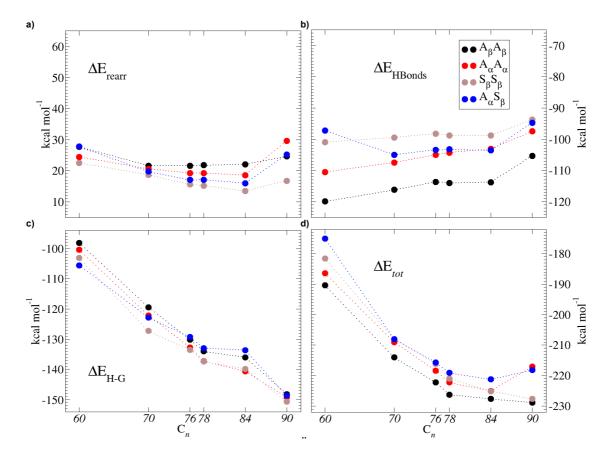


Figure 7. Evolution of a) ΔE_{rearr} ; b) ΔE_{HBonds} ; c) ΔE_{H-G} ; and d) ΔE_{tot} (*cf.* Eq. 1) for fullerenes C_{60} , C_{70} , C_{76} , C_{78} , C_{84} , and C_{90} encapsulated within the four most energetically favored conformations of C_{70} @1₂ (*cf.* Table 1): $A_{\beta}A_{\beta}$, $A_{\alpha}A_{\alpha}$, $S_{\beta}S_{\beta}$, and $A_{\alpha}S_{\beta}$. Numerical values for each of these plots are also provided as *Supporting Information* (Table S1), and those for C_{60} and C_{70} also appear in Table 1. The color code is explained in b); lines are guides for the eye. All values are calculated at the BP86-D3(BJ)/TZP level.

Upon analyzing the trends when moving from C_{60} to C_{70} , we should first of all note a generalized decrease in all ΔE_{rearr} values (*cf.* two leftmost points in Fig. 7a and, more exhaustively, Fig. S7a), meaning that deformation of host monomers is always energetically cheaper when accepting the latter guest. The decrease in cost ranges from -3.4 kcal mol⁻¹ ($\mathbf{1}_2$ in $S_\alpha S_\alpha$ conformation) to as much as -8.0 kcal mol⁻¹ ($\mathbf{1}_2$ in $A_\alpha S_\beta$).

For six of the seven hosts, ΔE_{HBonds} (Figs. 7b and S7b) is roughly similar with C_{60} and C_{70} alike, with only a slight decrease in favorability observed when hosting the latter fullerene (+0.5 to +3.7 kcal·mol⁻¹). On the other hand, $A_{\alpha}S_{\beta}$ is in this case an evident outlier: its hydrogen bond formation when hosting C_{70} is actually decisively more favorable (negative) by as much as -7.9 kcal mol⁻¹.

This unusual favorable trend in ΔE_{HBonds} , combined with the aforementioned highest decrease in ΔE_{rearr} , means that, when switching the guest from C₆₀ to C₇₀, the total energy of forming the A_aS_β capsule from free monomers of **1** (*i.e.* $\Delta E_{HBonds} + \Delta E_{rearr}$) undergoes an exceptionally high increase in favorability (-15.8 kcal mol⁻¹). Such an increase is far more modest in the six remaining capsules, ranging from -0.8 to -5.3 kcal mol⁻¹.

It is nonetheless equally evident that the remaining component ΔE_{H-G} (*cf.* leftmost points in Figs. 7c and S7c) has by far the largest influence on ΔE_{tot} (Figs. 7d and S7d). Indeed, as more π - π interactions are formed once C_{60} is replaced by C_{70} , capsule-fullerene interaction energies become more favorable (negative) by as much as -17.2 to -24.1 kcal mol⁻¹: all six these increases in favorability far outrank those observed for ΔE_{HBonds} and ΔE_{rearr} combined, even in the case of the outlier conformer $A_{\alpha}S_{\beta}$.

All these factors considered, it comes as no surprise that ΔE_{tot} is always significantly more favorable for $C_{70}@1_2$ than it is for $C_{60}@1_2$, regardless of what conformation 1_2 is in. Gains in favorability range from -22.6 kcal mol⁻¹ for the formation of $C_{70}@A_{\alpha}A_{\alpha}$ over $C_{60}@A_{\alpha}A_{\alpha}$ to the -33.0 kcal mol⁻¹ of $C_{70}@A_{\alpha}S_{\beta}$ over $C_{60}@A_{\alpha}S_{\beta}$, which of course benefits from the unexpected trend in ΔE_{HBonds} .

Energy studies on remaining complexes: We now turn to complexes of fullerenes C_{76} , C_{78} , C_{84} , and C_{90} , which we here only discuss with $\mathbf{1}_2$ in $A_\beta A_\beta$, $A_\alpha A_\alpha$, $S_\beta S_\beta$, $A_\alpha S_\beta$ conformation (and with $\mathbf{1}_2$ in $A_\beta S_\alpha$ conformation as *Supporting Information*). In this part of the discussion, we shall hence refer to the four plots in Fig. 7 in their entirety, and from a qualitative point of view; the reader is nonetheless reminded that values for these plots are fully tabulated in Table S1.

The four plots of ΔE_{tot} and its components all reveal an interesting evolution beyond C_{70} : examining these in the same order as before, we begin with ΔE_{rearr} (Fig. 7a). The first thing to note is that the cost of rearranging $S_{\beta}S_{\beta}$ monomers consistently remains the lowest right up to C_{90} . The highest cost is borne by $A_{\beta}A_{\beta}$ up to C_{84} ; in the case of the largest guest C_{90} , however, rearrangement costs of both the $A_{\alpha}S_{\beta}$ and $A_{\alpha}A_{\alpha}$ capsules undergo a late 'surge' whereby they overtake $A_{\beta}A_{\beta}$. More in general, a late surge in ΔE_{rearr} is observed in all four capsule conformers considered, even though it is evidently more modest for $S_{\beta}S_{\beta}$ and $A_{\beta}A_{\beta}$. In the case of $A_{\beta}A_{\beta}$, this (very modest) surge already begins between $C_{70}@A_{\beta}A_{\beta}$ and $C_{76}@A_{\beta}A_{\beta}$; on the other hand, when moving from C_{70} to C_{84} , ΔE_{rearr} of remaining conformers is seen to decrease slightly.

In the case of ΔE_{HBonds} (Fig. 7b), the general order of favorability detected for C_{70} is preserved up to C_{90} , with $A_{\beta}A_{\beta}$ the most favorable **1**₂ conformer, $S_{\beta}S_{\beta}$ the most unfavorable, and $A_{\alpha}S_{\beta}$ and $A_{\alpha}A_{\alpha}$ tending to be close together, and in between. Between C_{70} and C_{84} , the favorability of forming hydrogen bonds shows almost no variation, but once more, there is a surge in unfavorability (ΔE_{HBonds} less negative) between C_{84} and C_{90} .

Trends in ΔE_{H-G} (Fig. 7c) are far more uniform, even though the order of favorability changes frequently between capsule conformers. For example, $A_{\alpha}S_{\beta}$ is the conformer that exhibits the most favorable host-guest interaction with C_{60} , but the least favorable with C_{90} ; also, for guests between C_{76} and C_{90} , the most favorable ΔE_{H-G} values are alternatively found for $A_{\alpha}A_{\alpha}$ and $S_{\beta}S_{\beta}$. Despite this, all plots clearly show that, except for a hump at C_{84} , all host-guest interactions undergo a consistently large increase in favorability, with C_{90} more favored than C_{60} by, on average, 47 kcal mol⁻¹.

Exactly as observed for C₆₀ and C₇₀, such predominance of ΔE_{H-G} over ΔE_{rearr} and ΔE_{HBonds} is fully reflected in ΔE_{tot} plots for encapsulation of guests up to C₈₄ (Fig. 7d). However, the late surges in ΔE_{rearr} and ΔE_{HBonds} observed between C₈₄ and C₉₀ begin to counteract the beneficial effects of ΔE_{H-G} and as a consequence, between C₇₈ and C₉₀, ΔE_{tot} plots are seen to approach plateaus. In fact, in the case of A_αA_α, the trend is even reversed: encapsulation of C₉₀ by A_αA_α actually returns to be less favorable than C₇₆.

Most crucially, it can also be concluded that the affinity of all capsule conformers towards C_{84} is higher than that towards C_{70} , which in turn is significantly higher than that towards C_{60} : this is fully consistent with the experimental results.^[14-15] Moreover, it can be seen that $A_{\beta}A_{\beta}$ is consistently found to have the most favorable ΔE_{tot} values with respect to all other conformers: such energetic preference is also in full agreement with our NMR results. Based on these results, we can establish a scale of affinity as follows between the $\mathbf{1}_2$ capsule and the set of fullerenes studied: $C_{60} < C_{70} < C_{76} < C_{78} < C_{84} \approx C_{90}$.

Structural studies: Table 2 lists a series of key geometric parameters, measured in each of the six optimized fullerene@ 1_2 complexes evaluated in this study, only considering 1_2 capsules in the $A_\beta A_\beta$ conformation due to its detected energetic preference, both theoretically and experimentally. Where possible, these are compared with equivalent parameters obtained for an optimized empty 1_2 in the same conformation, and at the same level of theory. Atoms are numbered according to the scheme illustrated in Table 2, whose footnotes explain the parameters in more detail.

(N)H···O hydrogen bond lengths (2-8' / 2'-8) are very similar in all cases, and generally close to their value of 1.658 Å in the empty $A_{\beta}A_{\beta}$ capsule; furthermore values do not show any particular trend in relation to increasing guest size. On the other hand, deviation from the empty capsule is more evident in the case of central (N)H···N bond lengths (4-6' / 4'-6), which are all longer than the 1.815 Å template. As guest size progressively increases from C_{60} / C_{70} to C_{84} , so do bond lengths (1.823 / 1.820 to 1.848 Å); for the even larger but decidedly prolate C_{90} , equatorial strain on **1**₂ is released, and (N)H···N distances return to 1.829 Å.

Angles associated with these hydrogen bonds (1-2-8' / 1'-2'-8 and 3-4-6' / 3'-4'-6, respectively) show degrees of correlation with guest size that are similar to those of their parent bonds. In the case of 1-2-8' / 1'-2'-8, we again see little correlation with fullerene size, although angles in all complexes (except for 172.5° in $C_{90}@1_2$) are consistently found to be smaller than the 169.9° of empty 1_2 (166.7° to 167.7°). On the other hand, 3-4-6' / 3'-4'-6 angles exhibit an extremely interesting evolution with fullerene size. First of all, mirroring the trend in 2-8' / 2'-8 bonds (all longer than in the free dimer), all angles are found to be less planar than the 178.0° found in the empty capsule. Even more importantly, if their degree of deviation from 178.0° is plotted against fullerene size, one can see (Fig. 8) that it is almost superimposable on the ΔE_{HBonds} plot for the $A_{\beta}A_{\beta}$ capsule; this suggests that 3-4-6' / 3'-4'-6 angles and their associated 'equatorial' hydrogen bonds have a particularly important effect on the overall energy released upon hydrogen bond formation.

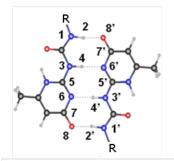


Table 2. Average geometric parameters measured in optimized fullerene $@1_2$ complexes, and in the empty 1_2 capsule, with 1_2 always in $A_{\beta}A_{\beta}$ conformation. Distances are reported in Å; angles in °. All parameters are averages, except the distance between CTV domes, of which there is only one instance in every 1_2 . Parameters are numbered according to the scheme in the above inset.

| Average Parameter | No guest | C ₆₀ | C ₇₀ | C ₇₆ | C ₇₈ | C ₈₄ | C ₉₀ |
|-------------------------------------|----------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| Dist 2-8' / 2'-8 | 1.658 | 1.652 | 1.660 | 1.659 | 1.654 | 1.651 | 1.662 |
| Dist 4-6' / 4'-6 | 1.815 | 1.823 | 1.820 | 1.828 | 1.831 | 1.848 | 1.829 |
| Angle 1-2-8' / 1'-2'-8 | 169.9 | 166.7 | 167.2 | 167.2 | 167.7 | 167.3 | 172.5 |
| Angle 3-4-6' / 3'-4'-6 | 178.0 | 174.7 | 172.4 | 171.8 | 172.4 | 173.2 | 171.6 |
| Dist CTV domes ^[a] | 17.7 | 16.4 | 16.4 | 16.4 | 16.4 | 15.7 | 17.4 |
| Angle CTV ^[b] | 109.5 ^[c] | 102.3 | 107.6 | 110.5 | 112.1 | 113.0 | 113.7 |
| Dist UPy ^[d] | - | 10.8 | 10.9 | 11.2 | 11.1 | 11.9 | 11.0 |
| Average H-G distance ^[e] | - | 3.275 | 3.288 | 3.230 | 3.212 | 3.207 | 3.162 |

[a] Distance between the two centroids at the middle of each CTV macro-ring. [b] Angle formed by the centroids of two adjacent CTV aromatic rings and their methylene bridging carbon. [c] Free monomer 1 in A_{β} conformation. [d] Average distance from centroids of two 3-5 bonds in adjacent UPy dimers. [e] Average of the distance between each guest atom and its closest host atom.

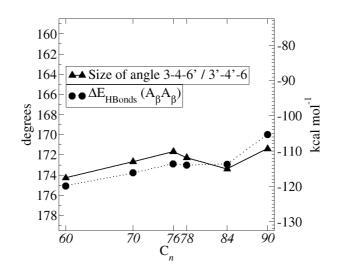


Figure 8. Size of the angle 3-4-6' / 3'-4'-6 in ° (cf. Table 2), superimposed on the ΔE_{Hbonds} plot for $A_{\beta}A_{\beta}$ capsule-fullerene complexes (cf. Fig. 7b). Note the inverted scale for the angle plot.

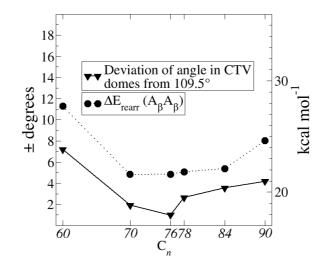


Figure 9. Deviation from 109.5° of the average angle between CTV ring centroids and CH_2 units; superimposed on the ΔE_{rearr} plot for $A_{\beta}A_{\beta}$ capsule-fullerene complexes (*cf.* Fig. 7a).

Turning to the two CTV 'domes', the distance between their centroids remains constant at 16.4 Å for the quasi-spherical guests C_{60} to C_{78} , and drops to 15.7 Å for C_{84} . On the contrary, for the prolate guest C_{90} we observe an elongation to 17.4 Å, much closer to the 17.7 Å observed in the free $A_{\beta}A_{\beta}$ capsule.

At any rate, the most striking parameter related to CTV is the average angle formed by the centroids of the aromatic rings and methylenic carbons in the dome: this has a clear correlation with guest size, and consistently increases from 102.3° in C_{60} @1₂ to 113.7° in C_{90} @1₂. We furthermore note that the corresponding angle in optimized monomer 1 (A_{β} conformation) is 109.5°: if the magnitude of the deviation from 109.5° is plotted for each of the six fullerene@1₂ complexes against fullerene size, we find that there is rough agreement with the plot for ΔE_{rearr} (Fig. 9). In other words, this suggests that squeezing (C_{60} , C_{70}) or stretching (C_{76} to C_{90}) CTV angles constitutes a significant part of the overall cost of deforming capsule monomers 1 from their optimized state.

The lack of trends in the distance between UPy_2 centroids (10.8 – 11.9 Å), which is similar to the absence of patterns in (N)H···O lengths and angles, is another indication that the increasing geometric distortion caused by increasing fullerene size is mainly distributed at the 'CTV poles' of the capsules rather than at their 'UPy equator'. Nonetheless, (N)H···N bonds are obviously still distorted to some extent too.

Finally, we set out to measure, in each host-guest complex, the average of the shortest contacts between guest atoms and host atoms: mean values for such contacts are tabulated in the last line of Table 2. It is clear from these values that the contacts made by

 C_{60} (3.275 Å) and C_{70} (3.288 Å) are the loosest, and that there is a progressive increase in tightness before reaching C_{84} (3.207 Å) and C_{90} (3.162 Å). Increasingly tight contacts help to explain the increasing energetic favorability (negativity) of ΔE_{H-G} , which in turn so strongly contributes to the overall ΔE_{tot} .

Thermal Stability vs. Racemization of Homochiral Capsules

In the opening part of this section, we presented experimental evidence on some structural aspects of the 1_2 capsules and their complexes with fullerenes, namely: tautomerism of the UPy dimeric subunits; conformation of the linker chain between the CTV platform and the UPy subunits; and orientation of methoxy groups in CTV with respect to urea protons in UPy (in turn linked to the homochiral or *meso* nature of the 1_2 capsules). These observations helped to reduce the amount of DFT calculations, by restricting conformational space to explore and the number of isomers to consider. The outcome of the calculations is also in agreement with experiment, with the trend in energetic stabilities $C_{84}>C_{70}>C_{60}$ perfectly matching selectivities observed in fullerenes extraction from fullerite. To complement these observations on energetic stabilities, we performed a study on how different fullerenes affect the racemization rate of homochiral capsules.

Racemization requires a complete inversion of the CTV domes in capsule monomers: this means that in order for it to occur, dimeric capsules must break at least in part. Consequently, one should expect racemization to be more favored for the less stable host-guest complexes, and our study should enable us to establish a link between stability and racemization rate. The rate of the "crown-to-crown" interconversion leading to racemization is also sensitive to temperature,^[20, 30] and we make use of this in our study.

Thus, racemization of free host monomers **1** was monitored by CD in tetrachloroethane at three different temperatures, and compared to racemization in $C_{60}@1_2$, $C_{70}@1_2$, and $C_{84}@1_2$ under the same conditions. Spectra were collected every ten minutes until total racemization took place (*Supporting Information*, Figs. S8 to S12). In all cases, racemization was seen to follow first order kinetics. Thermodynamic parameters derived from kinetic data (*Supporting Information*, Table S2) were fitted to Eyring plots (Fig. S13).

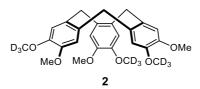


Figure 10. Deuterated CTV derivative 2, studied by Collet and Gabard.^[30]

Table 3. Thermodynamic transition state parameters (all in kcal mol⁻¹) of CTV derivatives 1 and $2^{;[30]}$ and the complexes of 1_2 with fullerenes C_{60} , C_{70} , and C_{84} .

| Compound | $E_{a}^{[a]}$ | ΔH- | ΔS- | $\Delta G^{[b]}$ |
|---------------------------------|---------------|------|--------------------------|------------------|
| 1 | 23.9 | 23.3 | -5.6 × 10 ⁻³ | 25.0 |
| C ₆₀ @1 ₂ | 33.0 | 32.4 | 20.8 × 10 ⁻³ | 26.2 |
| C ₇₀ @1 ₂ | 38.5 | 37.9 | 35.4 × 10 ⁻³ | 27.4 |
| C ₈₄ @1 ₂ | 66.0 | 65.6 | 121.8 × 10 ⁻³ | 29.3 |
| 2 ^[30] | 26.5 | 25.9 | -1.9 × 10 ⁻³ | 26.5 |

[a] Values derived from the Arrhenius equation. [b] At 298 K.

For **1**, thermodynamic parameters associated with racemization (Table 3) were very similar to those obtained by Collet and Gabard^[30] for the racemization of monomers of their compound **2** (Fig. 10), despite the fact that these are deuterated, and therefore unable to dimerize.

For filled homochiral capsules, on the other hand, racemization rates definitely showed dependence on the volume of the included guest: indeed, the enthalpic barrier ΔH clearly increases with fullerene size, in full agreement with the higher stabilities found by calculations, and the previously determined binding constants.^[15] We nonetheless note that, possibly due to the greater rotational frustration suffered by larger fullerenes after encapsulation, the entropic barrier ΔS is also seen to increase with guest size: as a result, at 298 K, enthalpy-entropy compensation restores free energies of activation (ΔG) to more or less similar values.

Conclusions

We have herein reported a series of experimental (¹H NMR, CD) and theoretical studies (dispersion-corrected DFT) on a series of dimeric capsules, in different conformations, hosting six different fullerene guests: C_{60} , C_{70} , and C_{84} were considered both experimentally and theoretically; whereas the previously unstudied C_{76} , C_{78} , and C_{90} were only considered theoretically. The specially designed monomers **1** composing such host capsules were based on a cyclotriveratrylene (CTV) core, equipped with three 2-ureido-4-[*1H*]-pyrimidinone (UPy) moieties each bearing an array of two hydrogen bond donors and two acceptors; this enabled facile dimerization into **1**₂ *via* hydrogen bonding.

The aim of our work, wherein we have observed full agreement between theory and experiment, was to provide an explanation as to why, in previous studies,^[14-15] such capsules were experimentally seen to prefer C_{84} as a guest over C_{70} and C_{60} .

Our ¹H NMR findings (1D, NOESY, COSY, HSQC) crucially confirmed that, in THF, the 2-ureido-4-[1H]-pyrimidinone tautomer of UPy was indeed the most prevalent one. In addition, homochiral 1_2 capsules—where both monomers 1 are of the same chirality *P* or *M*—were found to prevail over *meso* capsules—where they have contrasting chirality.

In full accordance with NMR, our calculations further predicted that, regardless of the fullerene guest, and out of seven conformations tested, the capsules' energetically preferred conformation is always $A_{\beta}A_{\beta}$. This entails all UPy urea protons in capsule monomers being oriented *anti* to CTV methoxy groups (rather than *syn*), and all CTV-UPy ethylene linkers staying within the plane of the capsule's surface (rather than projecting out).

Again in agreement with previous experimental results for C_{60} , C_{70} , and C_{84} , we also established that the total encapsulation energy for the six fullerene guests studied follows the trend $C_{60}>C_{70}>C_{76}>C_{78}>C_{84}\approx C_{90}$ (where more negative values correspond to more favorable encapsulation). At first (guests C_{60} to C_{78}), this trend is predominantly driven by increasingly favorable host-guest interactions, arising from an increasing number of tighter host-guest contacts: these are able to overcome the increasing energetic penalties associated with guest-induced distortion and guest-induced disruption of capsules' hydrogen bonds. Eventually, however, these two energetic penalties begin to contrast the beneficial effects of host-guest interactions, and this is at the origin of $C_{84}@1_2$ and $C_{90}@1_2$ having similar formation energies.

Racemization studies, based on CD spectra recorded at different temperatures, were also able to show that with C_{84} as a guest, disruption of homochiral capsules is less favored than with C_{70} or C_{60} . This represents another encouraging piece of evidence in favor of our computational findings.

Experimental Section

Fullerenes and other chemicals were purchased from commercial sources, and used without further purification. Solvents were dried and distilled using conventional methods,^[31] or with a Solvent Purification System (SPS). Compound **1** was synthesized as reported elsewhere.^[14] NMR spectra were performed on Bruker Advance 400 Ultrashield (¹H: 400 MHz, ¹³C: 100 MHz) or 500 Ultrashield (¹H: 500 MHz, ¹³C: 125 MHz) spectrometers. Deuterated solvents used are indicated in each case. Chemical shifts (δ) are expressed in ppm, and are referred to the residual peak of the solvent. High performance liquid chromatography (HPLC) analyses were carried out on an Agilent Technologies Series 1100 apparatus, with UV-diode array detector. HPLC grade solvents were purchased from Scharlab and Carlo Erba and were used with no further purification. Separation of enantiomers was accomplished in a Chiralpak-IC 250 x 7.8mm, 5 µm column from Daicel. The mobile phase was a mixture of DCM/MeOH (90:10 v/v) + 0.1% TFA, flow rate = 0.95 mL/min and detection wavelength 254 nm at 25 °C. CD measurements were carried out in a Chirascan circular dichroism spectrometer from Applied Photophysics, with simultaneous measurement of UV-vis and CD spectra in the 165 – 900 nm range. The device was equipped with a Peltier thermal control unit (-40/+100 °C) with the possibility of temperature ramp control. Experiments were performed using 10⁻⁴ M solutions of each enantiomer, with HPLC grade chloroform as the solvent. The blank and each of the samples were measured three times using a 0.5 mm path length light polarized cuvette.

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Keywords: selective purification of fullerenes • supramolecular chemistry • density functional theory • NMR • circular dichroism

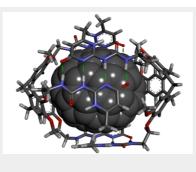
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Entry for the Table of Contents

Layout 1:

FULL PAPER

Supramolecular dimeric capsules, based on cyclotriveratrylene equipped with self-complementary ureidopyrimidinone moieties, show selectivity towards C_{84} and C_{70} over C_{60} . Combining theory and experiment, we here explore the molecular basis of such selectivity, with fascinating conclusions.



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Molecular basis for the recognition of higher fullerenes into ureidopyrimidinonecyclotriveratrylene self-assembled capsules