Isolation of a Ru(iv) side-on peroxo intermediate in the water oxidation reaction

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The electrons that nature uses to reduce CO_2 during photosynthesis come from water oxidation at the oxygen-evolving complex of photosystem II. Molecular catalysts have served as models to understand its mechanism, in particular the O–O bond-forming reaction, which is still not fully understood. Here we report a Ru(iv) side-on peroxo complex that serves as a 'missing link' for the species that form after the rate-determining O–O bond-forming step. The Ru(iv) side-on peroxo complex (η^2 -1iv–OO) is generated from the isolated Ru(iv) oxo complex (1iv=O) in the presence of an excess of oxidant. The oxidation (iv) and spin state (singlet) of η^2 -1iv–OO were determined by a combination of experimental and theoretical studies. 18O- and 2H-labelling studies evidence the direct evolution of O2 through the nucleophilic attack of a H2O molecule on the highly electrophilic metal–oxo species via the formation of η^2 -1iv–OO. These studies demonstrate water nucleophilic attack as a viable mechanism for O–O bond formation, as previously proposed based on indirect evidence.

Water oxidation (WO) to dioxygen is pivotal in natural photosynthesis^{1,2}, and inspires the development of sustainable technologies for the synthesis of renewable fuels and chemicals^{3,4}. Since the first WO complex (the 'blue dimer'⁵), the focus of molecular catalysts has centred on catalyst design and the characterization of the mechanisms and intermediates that govern the WO reaction⁶⁻⁸. In general, the formal mechanisms considered are the coupling between two radical M-O• (or M-oxyl) species and the acid-base mechanism in which a molecule of water attacks an electrophilic metal oxo species (Fig. 1)^{1,6,9–12}. In both cases, the formation of the O–O bond is usually the step that determines the rate of the reaction, and therefore to facilitate the O–O bond formation should translate into better efficiencies. However, despite indirect proofs for both mechanisms, the evidence for a direct formation of the O–O bond from a M=O moiety is circumstantial^{2,6,11-14}. In part, the challenge is to characterize the intermediates after the O-O bond-forming step, especially when this is the rate-determining step (RDS) of the reaction, which is usually the case. The formation of putative metal-peroxo complexes during catalysis has been a topic of debate over the past decades and remains so^{15–24}.

Since the first report of spectroscopically proposed η^2 -Ru(iv)–O2 species, [Ru(Mebimpy)(bpy)(η^2 -O2)]2+ (bimpy, bis(imino)pyridine; bpy, 2,2'-bipyridine), based on a Raman band at 1,015 cm–1 (ref.¹⁶), several structures have been proposed13,18,25–27. Recently, Garand and co-workers proposed the gas phase generation of 1[Ru(tpy)(bpy) (η^2 -O2)]2+ (tpy, 2,2';6',2"-terpyridine) by reacting O2 with [Ru(tpy) (bpy)]2+ (ν (O–O) = 1,150 cm–1 and 1,085 cm–1 with 18O2)18. Yet, compelling evidence for the formation

of M–peroxo species formed under catalytic conditions after the O–O bond formation has not been reported.

Here, we report the isolation and characterization of an elusive Ru(iv) side-on peroxo intermediate η^2 -[Ruiv-(OO)(L)](PF6)2 (η^2 -1iv-OO, L = Py2 Metacn (tacn, 1,4,7-triazacyclononane) obtained via O-O bond formation from M=O under conditions relevant for catalytic WO with a previously reported [Ruii(OH2)(L)](PF6)2 (1) complex²⁷. The η^2 -1iv-OO intermediate was previously postulated to be formed after the O-O bond-formation RDS by computational studies²⁷. Kinetic studies showed a first-order reaction rate versus complex 1 and Ceiv and 18O-labelling experiments further proved that the O-O bond formation occurs via water nucleophilic attack (WNA)²⁷.

Results and discussion

Synthesis and characterization of n²-1iv-OO. The closed-shell n²-1iv-OO was prepared from 1, [Ruiii(OH) (L)](PF6)2 (1iii–OH) or [Ruiv(O)(L)](PF6)2 (1iv=O) by varying the number of equivalents of added oxidant (NaIO4, Fig. 2a and Supplementary Section 2). n²-1iv-OO was isolated by the reaction of 1iv=O with 3 equiv. NaIO4 in D2O at room temperature (r.t.) for three hours, followed by saturation of the solution with ammonium hexafluorophosphate (NH4PF6) to give needle-shaped crystals after two days at 5 °C. Two different crystals were analysed to confirm unambiguously the structure, which showed an asymmetric unit that contains one molecule of the metal complex and two counterions (Fig. 2b and Supplementary Section 3). The X-ray crystal structures of η^2 -1iv–OO unequivocally revealed a mononuclear side-on peroxo coordination and, thus, the heptacoordinate configuration of the Ru centre.

¹Institute of Chemical Research of Catalonia (ICIQ), The Barcelona Institute of Science and Technology, Tarragona, Spain. ²Molecular Inorganic Chemistry, Stratingh Institute for Chemistry, Faculty of Science and Engineering, University of Groningen, Groningen, the Netherlands. ³Synchrotron SOLEIL, Gif-sur-Yvette, France. ⁴Catalan Institution for Research and Advanced Studies (ICREA), Barcelona, Spain. ¹e-mail: jlloret@iciq.es The complex displays a distorted pentagonal bipyramid geometry, which arises from the coordination of the peroxo moiety. The X-ray crystal data refinement clearly shows two oxygen atoms coordinated to the Ru centre with an occupancy of 1.0 for each oxygen atom.

The O-O distances in the isolated crystals were 1.353(10) and 1.367(9) Å and are consistent with the peroxo character of the O-O coordinated moiety, as supported by Raman, X-ray absorption spectroscopy (XAS) and density functional theory (DFT) modelling at the ωB97XD/6-31G(d)/SDD//ωB97XD/cc-pVTZ/ SDD (Ru) level of theory (DFT-calculated O–O distance, d(O–O)teor = 1.359 Å for a singlet η^2 -1iv–OO complex; Fig. 2)21. The O-O moiety is bound symmetrically to Ru with Ru–O distances and O1–Ru–O2 angle values that fall within those reported for Ru-O2 complexes (d(O-O) = 1.36-1.46 Å, d(Ru-O) = 1.958-2.040 Å and α (O–Ru–O) = 39.7°) (ref. ²⁸). The analysis of the diffracted crystal by coldspray high-resolution mass spectrometry (CSI-HRMS) shows a prominent peak at m/z 604.0885 and an isotopic pattern that matches $[[\eta^2 -$

1iv-OO]2++(PF6)-]+ (Supplementary Fig. 35).

DFT calculations were used to estimate the relative stability of η^2 -1iv–OO with respect to other isomers. Since previous studies have shown it difficult to accurately describe the O2-binding modes in Ru–O2 (ref. ¹⁷), we used two different density functionals to model the possible isomers and compared them with experimental X-ray data. Both functionals, unrestricted ω B97XD and M11, agree that the closed-shell 1[η 2-1iv–OO] is the most stable isomer¹⁷.







Fig. 2 | **Summary of the synthesis and spectroscopic characterization of a closed-shell Ru(iv) side-on peroxo intermediate** (η^2 -**1iv-OO**). **a**, η^2 -**1iv-OO** was formed from the isolated 1, 1iii–OH and 1iv=O intermediates, using equivalent synthetic conditions. b, ORTEP drawing (thermal ellipsoids are drawn at a 50% probability level) of [Ruiv(OO)(Py2 Metacn)](PF6)1.5(IO3)0.5 (η^2 -1iv-OO). PF6 anions and hydrogen atoms are omitted for clarity. ORTEP ellipsoids and DFT structures colour code: Ru, green; O, red; C, grey; N, blue. The X-ray and DFT bond distances in the table illustrate a better agreement with the Ru(iv) side-on geometry and singlet electronic structure. c, Comparison between the experimental (left) and theoretical (right) Ru L3-edge XAS of 1 (green line), 1ii–OH (black line), 1iv=O (blue line) and η^2 -1iv–OO (red line). d, The overlap X-ray and

DFT-optimized structures of η^2 -1iv-OO in the singlet and the triplet spin states. e, Left: solid-state Raman spectra of the isolated 1iv=O (λ exc = 632.8 nm, 600 μ W power at r.t.) with 16O (red spectrum) and 18O (blue spectrum) isotopic substitution. Right: solution Raman spectra of the in situ generated η^2 -1iv-OO (λ exc = 457 nm, 50 mW power at r.t.) from 1iv=O in MilliQ H2O (green and red spectra generated with cerium(iv) ammonium nitrate (CAN) and NaIO4, respectively, as the sacrificial oxidants) and from 1iv=18O in H2 18O with NaIO4 (blue spectrum) and D2O (purple spectrum) (2 mM), in the region of the O-O stretch.

 $1[\eta^2-1iv-OO]$ is 3.8 kcal mol-1 lower in energy than 3[η 1-1iii-OO] at the ω B97XD/6-31G(d)/SDD// ω B97XD/ cc-pVTZ/SDD (Ru) level of theory. The calculated geometry of 1[η^2 -1iv-OO] overlaps better with the X-ray structure (Fig. 2d and Supplementary Tables 7 and 8). This agrees with the silent electron spin resonance spectrum obtained (Supplementary Fig. 8).



Fig. 3 | CSI-HRMS isotopic labelling experiments evidencing the WNA mechanism. a,b, CSI-HRMS (293 K) of the monocharged [Ru–OO–(PF6)]+ species of η 2–1iv–OO generated after the addition of NaIO4 (3 equiv.) to the isolated 1iv=O in MilliQ H2O (a) and in D2O (b). c, CSI-HRMS (293 K) of the monocharged [Ru–O18O–(PF6)]+ species of η_{2-1iv} -O180 generated after the addition of NaIO4 (3 equiv.) to the isolated 1iv=O in H2 18O. d, CSI-HRMS (293 K) of the monocharged [Ru-O18O-(PF6)]+ species of n2-1iv-18O18O generated in H2 18O after the addition of NaIO4 (3 equiv.) to the isolated 1iv=180. The experimental data (bars) are directly compared with the simulated isotopic pattern (shaded region). Black arrows in the spectra and dashed blue lines indicate the m/z shift on 180 labelling. The observed shift of m/z +2 in H2 180 agrees with the incorporation of one 180 into the peroxo moiety in the formed n2-1iv-O18O intermediate when starting with 1iv=O after the WNA. The observed shift of m/z +4 in H_2 180 with respect to that of η_2 -1iv-OO agrees with the two oxygens of the peroxo group being 180 in the final n2-1iv-180180 intermediate when starting with 1iv=180 after the WNA.

The electronic structure of η^2 -1iv–OO was probed by Ru L3-edge XAS (Fig. 2c) and 1, 1iii–OH and 1iv=O were measured as references. η^2 -1iv–OO shows a single intense feature at 2,838.0 eV, consistent with a closely spaced d manifold in a heptacoordinate metal centre. Furthermore, the electronic structure of the η^2 -1iv–OO bond alludes to a peroxo moiety (Supplementary Section 5)²⁹.

The Raman spectrum of η^2 -1iv–OO in water shows a band at 1,160 cm-1 that exhibits an isotope shift of 66 cm-1 on 180-substitution (1,094 cm-1, ∆16,18 (DFT-calcd) = 67 cm-1, which was assigned to the O-O stretch (Fig. 2e and Supplementary Section 6). This frequency is within the range of the O–O stretch of related M-O2 complexes16,18-20. The observed O–O stretch agrees with the formation of a closed-shell heptacoordinate n²-1iv-OO intermediate, as supported by the DFT-calculated Raman spectra. The calculated O-O stretch of $1[n^2-1iv-OO]$, appears at 1,152 cm-1, whereas an O–O stretch for 3[ŋ1-1iii–OO] is observed at 1,297 cm-1 (Supplementary Figs. 26-28)16-18,25. In contrast, the Raman spectrum of 1iv=O shows a Fermi doublet at 787 and 820 cm-1 that exhibits an isotope shift of 45 and 50 cm-1, respectively, on 180 substitution, and so was assigned to the Ru–O stretch (Fig. 2e and Supplementary Figs. 22-25).

Study of the O-O bond formation by isotopic labelling. The in situ formation of η^2 -1iv–OO from the isolated 1iv=0 was investigated by solution Raman and CSI-HRMS labelling studies in MilliQ H2O, D2O and H2 180 (Supplementary Sections 6 and 7). As the oxo group of 1iv=0 does not exchange in H2 180 to form 1iv=180 within the experimental time and set-up conditions27, 180-labelling experiments can be used to track the O-O bond formation event by analysing the nature of the resulting η^2 -1iv–OO. Solution Raman measurements of the in situ generated η^2 -1iv-OO intermediate from the oxidation of 1iv=O by NaIO4 and Ceiv in MilliQ H2O, D2O or H2 18O agreed with the formation of a side-on coordinated n²-1iv- OO intermediate. A redshift and a split of the O–O stretch band associated with the side-on η^2 -1iv-OO intermediate was observed due to the incorporation of one 180 into the final η^2 -1iv-O18O (two bands at -28 and -20 cm-1 with respect to the single band observed in η^2 -1iv–OO) or $\eta^2\text{-1iv}\text{-18O18O}$ (–66 cm–1) when it was generated from the isolated 1iv=0 or 1iv=180 in H2 180, respectively (Fig. 2e and Supplementary Figs. 26–32). Likewise, CSI-HRMS monitoring of a solution that contained 1iv=O (2 mM) and NaIO4 (5 equiv.) in MilliQ H2O (or D2O) showed the formation of a prominent peak at m/z 634.0100 and a less intense one at m/z 604.0885, isotopic patterns associated with $[\eta^2-$ 1iv-00]2++(103)-]+ and $[[n^2-1iv-00]2++(PF6)-]+$, respectively (Fig. 3 and Supplementary Figs. 50-53), which rules out any H/D exchange during the process. The intensity of the $[[\eta^2-1iv-OO]2++(PF6)-]+$ peak increases over time due to the accumulation of the intermediate until it reached a plateau (about six hours) due to the formation of $[\eta^2-1iv-OO]2+$ crystals, as observed by microscopy and Raman spectroscopy (Supplementary Fig. 48). This suggests that η^2 -1iv-OO could be isolated under cold-saturated conditions due to its in-



Fig. 4 | **Summary of the reactivity of the Ru intermediates in relation to the WO catalytic cycle.** Formation and reactivity of ruthenium intermediates involved in WO catalysed by complex 1. Inset: square wave voltammogram (SWV) of 1iv=O (1 mM) in a TBAPF6 (0.1 M) propylene carbonate solution under an Ar atmosphere. All the isolated intermediates were catalytically competent with equivalent turnover number and turnover frequency values. The mixed compound labelled $\eta_{2-1iv-16O18O}$ unequivocally confirmed its generation after WNA onto the highly electrophilic 1v=O species. Likewise, dioxygen 16O=18O evolved when starting with the $\eta_{2-1iv-16O18O}$ intermediate, which confirms the direct oxygen evolution from the isolated Ru(iv) side-on peroxo intermediate. The colour code for the ORTEP ellipsoids and DFT structures: Ru, green; O, red; C, grey; H, white; N, blue. PTET, proton transfer electron transfer. TS, transition state.

solubility (Supplementary Table 10). In addition, a new peak at m/z 229.5601, which corresponds to the dicharged $[n^2-1iv-OO]^2+$ complex, appeared during the reaction time. These peaks upshifted by m/z 8, 2 and 1 with H2 180 as the solvent (m/z 642.0313, 606.0944 and 230.5649, respectively), and were assigned to the mix-labelled Ru(iv)-peroxo species [[n²-1iv-0180]2+ +(I1803)-]+, [[n²-1iv-0180]2++(PF6)-]+ and [n²-1iv-0180]2+, respectively (Fig. 3 and Supplementary Sections 7.3 and 7.4). The same peaks were obtained when starting with 1iv=180 (2 mM), after treatment with NaIO4 (3 equiv.) in H2 160. When the reaction was performed from 1iv=180 in H2 180 these peaks upshifted by m/z of 10, 4 and 2, respectively, regarding the unlabelled compounds, associated with $[\eta^2-$ 1iv-180180]2+, $[[\eta^2-1iv-180180]2++(PF6)-]+$ and [[ŋ²-1iv-180180]2++(l1803)-]+, respectively (Supplementary Figs. 44-47). Control experiments showed no O–O exchange of the coordinated peroxo moiety on the addition of H2O to a solution of n^2 -1iv–O18O in H2 180 (Supplementary Fig. 56). Moreover, the fact that we obtained analogous results with the single electron transfer Ceiv (Ce(OTf)4) supports the formation on this in-cycle n²-1iv-OO intermediate via WNA (Supplementary Fig. 57).

Mechanistic studies. At this point, a key aspect is to further understand the connection between the isolated 1iv=0 and $\eta^2-1iv-00$, as well as its role in the catalytic cycle. First, electrochemical and ultraviolet–visible spectroelectrochemical studies on the isolated 1iv=0 intermediate show an irreversible oxidation at 1.85 V versus the normal hydrogen electrode (NHE) (1.77 V versus NHE obtained by DFT calculations; Supplemen-

tary Section 10), which can be assigned to the 1iv/v oxo couple (Fig. 4. and Supplementary Section 8). Previously, 1v=O has been suggested as the active species responsible for the O–O bond formation event on the basis of kinetic and computational studies²⁷.

Computational studies to model the full catalytic cycle at the wB97XD/6-31G(d)/SDD (Ru)//wB97XD/ccpVTZ/SDD (Ru) level of theory located the O-O bond formation after the redox pre-equilibrium of the 1iv=0 to 1v=O oxidation (Supplementary Section 10). This is in agreement with our previously observed redox dependence of the WO reaction catalysed by complex 1 on the basis of kinetic studies²⁷. Indeed, all the redox processes are exergonic in the presence of an excess of Ceiv (catalytic conditions), except for the oxidation of 1iv=O to 1v=O. Then, the activation energy obtained from the Eyring equation is consistent with that obtained for the WNA O–O bond formation by DFT (26 \pm 2 versus 23 kcal mol-1, respectively; see Supplementary Sections 9.3 and 10 for details). Both theory and experiment suggest that the O–O bond formation is the RDS of the reaction, as judged by the negative ΔS^{\ddagger} value obtained from the Eyring plot (-29 \pm 3 versus -32 cal mol-1), in agreement with an associative mechanism³⁰.

Further evidence on the nature of the RDS is the rapid O2 evolution (less than five seconds) after the addition of Ceiv (1 equiv.) either to the mixed labelled η^2 -1iv–180160 or to η^2 -1iv–O0 in water to yield 1 equiv. 34O2 or 32O2, respectively, and Ru(iii) species. Moreover, the O2 release from η^2 -1iv–O0 after the addition of 1 equiv. Ceiv was more than 30-fold faster than that

when starting from 1iv=O in the presence of a 100fold excess of Ceiv (Supplementary Fig. 67 and Supplementary Scheme 1) 23. This evidence rules out the O2 release from η^2 -1iv-OO as the RDS. Accordingly, DFT calculations show a barrierless O2 release from the dioxygen-adduct 1iii-O2 (Supplementary Figs. 83 and 84, and Supplementary Table 14). The calculated transition state for the O2 release from η^2 -1iv-OO (>40 kcal mol-1) supports its accumulation in solution at a low concentration of sacrificial oxidant (Supplementary Table 14). The spin conversion to release triplet O2 could provide an explanation for the slow O2 release in the absence of additional oxidation.

Catalytic tests for WO from isolated intermediates (1, 1iii–OH, 1iv=O and η^2 -1iv–OO) showed similar initial O2 turnover frequencies and numbers (Supplementary Table 11 and Supplementary Fig. 68), consistent with η^2 -1iv–OO being an intermediate of the catalytic cycle. Moreover, the decay of η^2 -1iv–OO crystals in acetoni-trile gives a Ru(ii) species (Fig. 4 and Supplementary Figs. 70 and 71). Additionally, attempts to obtain η^2 -1iv–OO under O2 pressure (up to 20 bar) either in acetone or D2O as solvents or using H2O2 in the presence of Et3N did not yield the desired product, which rules out that the O2 formed, or the potentially formed H2O2, during WO catalysis is responsible for the formation of η^2 -1iv–OO (Supplementary Fig. 72).

Lastly, a microkinetic model elaborated with the theoretical mechanism and fitted with experimental kinetic data shows that the accumulation of n^2 -1iv-OO is feasible under a low concentration of sacrificial oxidant (Supplementary Section 11). The kinetic model supports the isolation of η^2 -1iv–OO as a solid in the presence of ammonium hexafluorophosphate as the precipitating agent (Supplementary Figs. 88 and 89). This mathematical model indicates that an intermediate after the RDS can be trapped in a thermodynamic sink, such as a precipitation equilibrium. Altogether, this is compatible with a catalytic cycle in which 1iv=O is the resting state and η^2 -1iv–OO is transiently formed under catalytic conditions after the RDS. In contrast, by proper modification of the reaction conditions, the elusive η^2 -1iv-OO can be accumulated and isolated as a solid material. Also, the direct tracking of the O–O bond formation by isotopic labelling from 1v=0 to $\eta^2-1iv-00$ supports the nucleophilic attack of water on 1v=O to form the O-O bond (RDS) (Fig. 4).

Conclusion

In conclusion, peroxo species have long been postulated as a key intermediate after the O–O bond formation via WNA. Nevertheless, it has only been transiently observed. Here we report a crystallized and isolated η^2 -[Ruiv(OO)(Py2 Metacn)](PF6)2 complex generated under catalytic conditions from all the previous isolated intermediates of the catalytic cycle, which is formed after the O–O bond formation event. All the spectroscopic characterization is consistent with a side-on coordination of the peroxo moiety to a closed shell Ru(iv), which is in good agreement with our computa-

tional studies. Moreover, the mixed labelled 160180 Ru(iv)-peroxo complex (n²-1iv-0180) formed on the addition of an excess of Ceiv (single electron oxidant) to 1iv=160 (intermediate before the RDS) in H2 180 points to the formation of the O-O bond via a WNA mechanism. These results prove that the WO mechanism can operate with a single metal site; as one of the proposed mechanisms in an oxygen-evolving complex, in which the M=O species undergoes a nucleophilic attack by water to form the O–O bond in the coordination sphere of the metal site. The isolated n^2 -1iv–OO intermediate is one of the potential missing links after the RDS, but other missing species need to be revealed to have a more complete view of the post-RDS WO catalytic cycle. This discovery clarifies our understanding of the O–O bond formation event by a direct tracking of the species before and after the RDS.

Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/ s41557-021-00702-5.

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Methods

Py2 Metacn and complex 1 were synthesized according to previously reported procedures27. Isolated intermediates 1iii–OH and 1iv=O were prepared starting from complex 1 with the addition of an oxidant (NaIO4, 0.5 and 1.5 equiv., respectively) and further precipitation with an ammonium hexafluorophosphate saturated solution in degassed MilliQ water and then cooling to 5 °C. Likewise, intermediate η^2 -1iv–OO was isolated from all the previous intermediates on the addition of different equivalents of oxidant and further slow precipitation at 5 °C. See the Supplementary Information for further synthetic procedure details, physical methods and detailed procedures for the characterization techniques used.

Data availability

The crystallographic data for η^2 -[Ruiv(OO)(Py2 Metacn)] (PF6)1.5(IO3)0.5, η^2 -[Ruiv(OO)(Py2 Metacn)](PF6)2 and 1iv=O have been deposited with the Cambridge Crystallographic Data Centre under accession numbers 1944703, 1944703 and 1944705, respectively. The data supporting the findings of the current study are available within the paper and its Supplementary Information.

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Author contributions

J.L.-F. and C.C. directed and conceived this project. C.C. synthesized all the intermediates, performed most of the experimental work, the DFT studies, the kinetic model and wrote the draft of the manuscript. V.M.-D., C.C., F.F. and B.L.-K. performed the synchrotron measurements and V.M.-D. analysed the data. W.R.B. and C.C. performed the Raman measurements and the analyses. V.M.-D. and C.C. performed the electron spin resonance experiments. S.F. performed the studies to calculate the activation parameters. C.C. and F.F. performed the electrochemical studies. N.C. optimized the experimental parameters of CSI-HRMS and, together with C.C., performed the CSI-HRMS studies and analysed the data. J.B.-B. performed the crystallographic analyses of the isolated intermediates. All the authors discussed the results and contributed to the manuscript.

Competing interests

The authors declare no competing interests.