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1 **Deposition and residues of azoxystrobin and imidacloprid on greenhouse**  
2 **lettuce with implications for human consumption**

3

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17

18 **Abstract**

19 Lettuce greenhouse experiments were carried out from March to June 2011 in order to  
20 analyze how pesticides behave from the time of application until their intake via human  
21 consumption taking into account the primary distribution of pesticides, field dissipation, and  
22 post-harvest processing. In addition, experimental conditions were used to evaluate a new  
23 dynamic plant uptake model comparing its results with the experimentally derived residues.  
24 One application of imidacloprid and two of azoxystrobin were conducted.

25 For evaluating primary pesticide distribution, two approaches based on leaf area index  
26 and vegetation cover were used and results were compared with those obtained from a tracer  
27 test. High influence of lettuce density, growth stage and type of sprayer was observed in  
28 primary distribution showing that low densities or early growth stages implied high losses of  
29 pesticides on soil. Washed and unwashed samples of lettuce were taken and analyzed from  
30 application to harvest to evaluate removal of pesticides by food processing. Results show that  
31 residues found on the Spanish preharvest interval days were in all cases below officially set  
32 maximum residue limits, although it was observed that time between application and harvest  
33 is as important for residues as application amounts. An overall reduction of 40–60% of  
34 pesticides residues was obtained from washing lettuce. Experimentally derived residues were  
35 compared with modeled residues and deviate from 1.2 to 1.4 for imidacloprid and  
36 azoxystrobin, respectively, presenting good model predictions. Resulting human intake  
37 fractions range from  $0.045 \text{ kg}_{\text{intake}} \text{ kg}^{-1}_{\text{applied}}$  for imidacloprid to  $0.14 \text{ kg}_{\text{intake}} \text{ kg}^{-1}_{\text{applied}}$  for  
38 azoxystrobin

39 **Keywords:** lettuce, leaf area index, vegetation cover, pesticide residues, food processing,  
40 human intake fraction

41

## 42 **1. Introduction**

43           With a global production of about 23 million tons in 2009 and an increase of 33%  
44 between 2000 and 2009, lettuce is one of the most important leafy vegetable crops in regard  
45 to human consumption (FAOSTAT, 2011). Today lettuce is grown almost everywhere around  
46 the world, with China, United States and Spain being the three largest producers (FAOSTAT,  
47 2011). A European person for instance consumes on average 16.5 kg of lettuce per year  
48 representing 12% of the total vegetable diet (WHO, 2003). At the same time, lettuce was  
49 shown to be a crop with relatively high detection frequencies of pesticide residues compared  
50 to other crop types like fruits, cereals, or tubers (Cho et al., 2009). This trend was also  
51 observed in a study assessing six different crop types (Fantke et al., 2011b) in which lettuce,  
52 on average, was shown to have the highest human intake fractions of pesticides. Furthermore,  
53 in 2007, the German pesticide monitoring program detected pesticides in 69.4% of all  
54 analyzed lettuce samples ( $n = 828$ ) and a total of 2% exceeding the maximum residue limit  
55 (MRL) allowed (BVL, 2007). Regarding the importance of lettuce consumption and the high  
56 pesticides residues reported, there is a need to evaluate how pesticides behave from the time  
57 of application until their intake via human consumption taking into account (i) the primary  
58 distribution of pesticides, (ii) field dissipation, and (iii) post-harvest processing.

59           Directly after application, pesticides are deposited on soil or on crops. The resulting  
60 deposition fractions are determined predominantly by crop species and growth stage. The  
61 more extensive the foliage, the larger is the deposited fraction intercepted by the crop  
62 (Hauschild, 2000). Leaf growth stage can be described by the leaf area index (LAI) defined as  
63 the sum of leaf area per unit ground area ( $\text{m}^2_{\text{leaves}} \text{m}^{-2}_{\text{soil}}$ ). In previous studies, LAI has been  
64 measured to predict the deposition fractions on tomato crops and was shown to perform well  
65 in this regard (e.g. Antón et al., 2004; Juraske et al., 2007). However, in the case of lettuce,  
66 during medium and later growth stages intra- and inter-plant leaf overlapping occurs. This can  
67 lead to relatively high LAI values and to subsequent overestimation of deposition fractions on

68 lettuce foliage. An alternative measure to estimate leaf growth stage and deposition fractions  
69 is the vegetative cover (VC) defined as the proportion of soil area covered by leaves (%)  
70 (Vaesen et al., 2001). This approach has already been used to evaluate both, growth stages  
71 and radiation light interception in lettuce canopies (Beccafichi et al., 2003; Tei et al., 1996;  
72 Archila et al., 1998).

73 After primary distribution, dissipation processes dominate the evolution of residues in  
74 crops until harvest. These processes depend on several factors including weather conditions,  
75 doses applied, chemical formulation, application method; and chemical phenomena as  
76 thermodegradation and photodegradation (Garau et al., 2002). Using MRLs as a measure to  
77 ensure product safety, governmental and international organizations like national  
78 environmental protection agencies, Codex Alimentarius Commission, World Health  
79 Organization (WHO), and Food and Agriculture Organisation of the United Nations (FAO)  
80 have regulated the use of pesticides by fixing MRLs for commercial purposes (Gupta et al.,  
81 2008). Another value which is usually fixed in order to comply with MRL is the pre-harvest  
82 interval (PHI). This value is usually fixed on country level and can be described as the time  
83 period (in days) between the last pesticide application and a safe harvest of the treated crop  
84 (Stephenson et al., 2006). Several studies have been conducted on pesticides dissipation on  
85 lettuce (Chen et al., 2004; Fenoll et al., 2008; Fenoll et al., 2009) with the majority of them  
86 focusing on testing if the established PHI ensures that residue levels are below MRL (Fenoll  
87 et al., 2008). The magnitude of residues in/on crops finally depends on post-harvest food  
88 processing steps which in most cases decrease residues (Cengiz et al., 2007). In the case of  
89 lettuce, which is usually directly consumed after washing, this step needs to be evaluated as it  
90 might influence the magnitude residues between harvest and consumption.

91 In this paper we therefore analyze pesticide fate dynamics on greenhouse lettuce  
92 treated by spray application from primary distribution to residues in lettuce ready for  
93 consumption. We determine LAI and VC curves as a function of time in order to predict and

94 compare deposition fractions at any growth stage, we discuss initial mass distribution and  
 95 residues in harvested lettuce, we determine field dissipation half-lives of applied pesticides,  
 96 we further discuss influence of post-harvest food processing (washing), and their implications  
 97 on human intake via consumption, and finally we compare experimentally derived residues in  
 98 lettuce to results of a dynamic plant uptake model.

99

## 100 **2. Materials and Methods**

### 101 *2.1. Field trial design*

102 Lettuce plants, cultivar *Maravilla*, were cultivated from March to June 2011 in two  
 103 similar (230 m<sup>2</sup>) greenhouses located in the Institute of Agriculture and Food Research and  
 104 Technology (IRTA), in Cabrils (Barcelona). Three different experiments (named A, B and C)  
 105 with differences in crop density, crop duration and pesticide formulation applied, were carried  
 106 out. Main crop features collected during the experiments are presented in **Table 1**.

#### 107 **Table 1**

108 Characteristics of the three experiments carried out with lettuce plants.

	experiment A	experiment B	experiment C
pesticide applied	imidacloprid	azoxystrobin	azoxystrobin
date of planting (dd/mm/yy)	07/03/11	26/04/11	17/05/11
date of application (dd/mm/yy)	14/04/11	19/05/11	16/06/11
date of harvest (dd/mm/yy)	28/04/11	02/06/11	30/06/11
LAI/VC at planting day (m <sup>2</sup> m <sup>-2</sup> )/(%)	0.02/3.31	0.03/1.65	0.03/3.9
LAI/VC at application day (m <sup>2</sup> m <sup>-2</sup> )/(%)	4.05/60.2	1.40/14.5	5.59/60.4
LAI/VC at harvest day (m <sup>2</sup> m <sup>-2</sup> )/(%)	7.16/77.6	3.78/26.9	8.74/94.4
dry weight at application day (kg plant <sup>-1</sup> )	0.02	0.01	0.02
fresh weight at application day (kg plant <sup>-1</sup> )	0.33	0.24	0.47
water content at application day (%)	95	94	96
dry yield at harvest day (kg plant <sup>-1</sup> )	0.022	0.037	0.045
fresh yield at harvest day (kg plant <sup>-1</sup> )	0.73	0.96	1.04
density at harvest day (plants m <sup>2</sup> )	7.6	3.2	7.6

109

110 For experiments A and C the plant area spacing considered was 0.40 m × 0.33 m  
111 while for experiment B it was 0.35 m × 0.90 m, which implies crop densities of 7.6 and 3.2  
112 plants m<sup>-2</sup>, respectively. Experiments A and C were carried out on soil, while experiment B  
113 was conducted in hydroponic culture. Treatments were carried out on 14<sup>th</sup> April, 19<sup>th</sup> May,  
114 and 16<sup>th</sup> June 2011 using a portable motor sprayer and the following commercial  
115 formulations: CONFIDOR<sup>®</sup> 20 LS (20% of imidacloprid) in experiment A; and ORTIVA<sup>®</sup>  
116 (25% of azoxystrobin) in experiments B and C. Spraying was carried out according to the  
117 Spanish recommended dose of 0.075% (imidacloprid) and 1 g L<sup>-1</sup> (azoxystrobin) (MARM,  
118 2011). A total consumption of 4, 3, and 3 L of pesticide solution were applied in experiment  
119 A, B and C, respectively. Lettuce samples were taken one hour after treatment and repeated  
120 after 1, 4, 7, and 14 days after application. After sampling, unwashed and washed lettuce  
121 samples were kept at -20°C and stored until analysis. The analysis of pesticide residues were  
122 carried out at laboratory Applus+ in Lleida, Spain (Accredited by ENAC: register number  
123 563/LE1047). A liquid chromatography device (HPLC), Varian, equipped with a triple  
124 quadrupole detector and C18 column 100 mm × 2.0 mm Pursuit XRs 3 (Varian) were used to  
125 detect imidacloprid and azoxystrobin.

126

## 127 2.2. Initial pesticide distribution

128 After application, the total pesticide amount undergoes initial distribution, namely a  
129 fraction lost from the sprayed area via wind drift,  $f_{\text{drift}}$ , and fractions depositing on lettuce  
130 leaves,  $f_{\text{lettuce}}$ , and on soil,  $f_{\text{soil}}$ . Drift fractions primarily depend on application technique and  
131 weather conditions. For greenhouse conditions, Antón et al. (2004) report a generic value of  
132  $f_{\text{drift}} = 0.05$ . In contrast, deposition fractions mainly depend on crop growth stage and  
133 species-specific canopy density (Linders et al., 2000). Deposition fractions onto soil over time  
134 can be estimated from both LAI and VC development. When LAI curves are available,  $f_{\text{soil}}$  as  
135 a function of time  $t$  is calculated as follows (Hauschild, 2000):

136  $f_{\text{soil}}(t) = (1 - f_{\text{drift}}) \times e^{-\text{CCS} \times \text{LAI}(t)}$  (1)

137 where CCS [-] is the substance capture coefficient, for which a value of 0.35 is suggested for  
 138 pesticides sprayed as formulation according to Gyldenkaerne et al. (1999). When VC curves  
 139 are available,  $f_{\text{soil}}$  for any point in time is calculated as follows:

140  $f_{\text{soil}}(t) = (1 - f_{\text{drift}}) \times (1 - \text{VC}(t))$  (2)

141 From  $f_{\text{drift}}$  and  $f_{\text{soil}}(t)$  we finally derive  $f_{\text{lettuce}}$  as a function of time, i.e.

142  $f_{\text{lettuce}}(t) = 1 - (f_{\text{drift}} + f_{\text{soil}}(t))$ , since all three fractions must sum up to 100%.

143

144 *2.3. Leaf area index and vegetation cover*

145 In order to calculate LAI and VC as a function of time, measurements have been  
 146 carried out along the whole cultivation period of all three experiments. Lettuce samples were  
 147 taken every two weeks. Measurements were done using a LI-COR (LI-3100) Area Meter  
 148 device, capable of measuring the area of leaves (cm<sup>2</sup>). VC calculations were done using the  
 149 software GreenPix 0.3<sup>®</sup> (Casadesús et al., 2007) which counts the number of green pixels  
 150 representing plant material from a photograph. Digital photographs, taken in zenithal  
 151 orientation, were always taken at the day of analysis.

152 Several authors studied LAI and VC in relation to lettuce crop density. Their results  
 153 for maximum achieved LAI and VC values at the day of harvest are presented in **Table 2**.

154 **Table 2**

155 Maximum LAI (m<sup>2</sup> m<sup>-2</sup>) and VC (%) as a function of lettuce plant density per m<sup>2</sup> at day of  
 156 harvest as reported in different experimental studies.

plants m <sup>-2</sup>	LAI <sub>max</sub>	VC <sub>max</sub>	reference
5.0	4.4	60.3	Beccafichi et al. (2003)
6.0	-	-	MARM (2006)
6.2	-	-	MARM (2006)
8.3	6.8	-	Carranza et al. (2009)



plants m <sup>-2</sup>	LAI <sub>max</sub>	VC <sub>max</sub>	reference
10.0	6.1	84.6	Beccafichi et al. (2003)
12.5	-	-	MARM (2006)
13.3	13.5	-	Archila et al. (1998)
15.0	7.8	94.9	Beccafichi et al. (2003)
17.6	12.7	-	Tei et al. (1996)
24.5	-	-	Santos Filho et al. (2009)
30.0	12.9	99.3	Beccafichi et al. (2003)

157

#### 158 2.4. Tracer test

159 In order to obtain data on the magnitude of pesticide deposited on lettuce foliage at  
160 different plant growth stages, experimental tracer tests were conducted. Several methods have  
161 been developed and presented in the literature to objectively asses the quality of distribution  
162 of pesticides on the plant surface including analytical methods, fluorimetric methods,  
163 colorimetric methods or artificial methods based on digital imaging (Gyldenkaerne et al.,  
164 1999; Sánchez-Hermosilla et al., 2007; Cabello García et al., 2007). Here, we use a tracer test  
165 which is detected and evaluated digitally as performed in the calculation of VC. The tracer  
166 experiment was carried out during experiments B and C from April to June of 2011 involving  
167 the application of water with an indicator (methylene blue) in various applications. The use of  
168 this indicator allows for the detection of the total quantity deposited on lettuce leaves and of  
169 that deposited on soil by measuring the blue pixels using the software GreenPix 0.3<sup>®</sup>. In order  
170 to collect and detect the tracer on the ground, the soil around lettuce heads was covered with  
171 white plastic foil stripes (35 × 90 cm<sup>2</sup>). (Fig. 1). Several tracer applications ( $n = ???$ ) were  
172 conducted in each cultivation row of the plot at the same days the samples and photographs  
173 were taken for LAI and VC calculations.

174

175 < Fig. 1 >

176



177

178 **Fig. 1.** Distribution of tracer on lettuce at days of planting (left), pesticide application (center) and  
 179 harvest (right).

180

### 181 2.5. Post-harvest processing and human intake

182 The fraction of total applied pesticide amount that human population takes in via food  
 183 ingestion is defined as intake fraction  $iF$  ( $\text{kg}_{\text{intake}} \text{kg}_{\text{applied}}^{-1}$ ). We calculate intake fractions from  
 184 pesticide residues on harvested lettuce leaves  $m_{\text{residue}}$  ( $\text{kg}_{\text{residue}} \text{ha}^{-1}$ ) at harvest time  $t$ , the  
 185 applied pesticide mass  $m_{\text{applied}}$  ( $\text{kg}_{\text{applied}} \text{ha}^{-1}$ ) and the factor  $fp$  ( $\text{kg}_{\text{intake}} \text{kg}_{\text{residue}}^{-1}$ ) reducing the  
 186 pesticide residues in lettuce due to food processing for human intake:

$$187 \quad iF(t) = \frac{m_{\text{residue}}(t)}{m_{\text{applied}}} \times fp \quad (3)$$

188 Washing has been considered the exclusive food processing step for lettuce, for which  
 189 samples of washed lettuce were analyzed as part of the experimental study to evaluate the  
 190 reduction of pesticide residues.

191

### 192 2.6. Model setup for evaluation

193

194 A dynamic assessment model (dynamicroP) for uptake of pesticides into cereals and  
 195 subsequent human intake has been developed by Fantke et al. (2011a) based on a transparent  
 196 matrix algebra framework. This model was extended to include six major crop types covering  
 197 a large fraction of the worldwide human consumption of vegetal origin, thereby representing  
 198 the most important crop archetypes with lettuce as representative of leafy vegetables (Fantke  
 199 et al., 2011b). We applied this model for lettuce to evaluate experimentally derived initial

200 mass conditions and residues in harvest for the two assessed pesticides. As measure to  
 201 estimate model prediction quality compared with experiments we applied the standard error of  
 202 the log of residuals, between measured and modeled residues. A standard error of e.g. 0.1  
 203 implies a deviation between measured and modeled residues of approximately a factor 1.5  
 204 (Hamburg and Young, 1994).

205

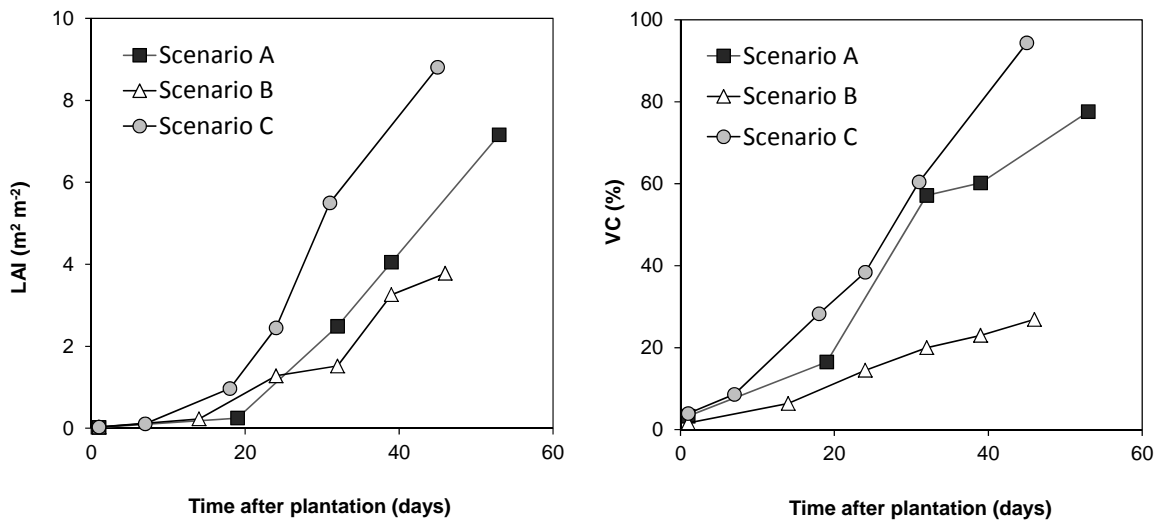
### 206 3. Results and discussion

#### 207 3.1. Development of leaf area index and vegetation cover

208 Experimentally derived LAI and VC values as a function of time measured during  
 209 experiments A, B, and C are presented in Fig. 2.

210

211 < Fig. 2 >



212

213 Fig. 2. LAI (m² m⁻²) and VC (%) as a function of time measured during experiments A, B and C.

214

215 On average, LAI values range from 0.02 (experiment A) at the day on planting to 8.81  
 216 (experiment C) at the day of harvest. VC values range from 2% (experiment B) to 94%  
 217 (experiment C) for planting and harvest day, respectively. LAI and VC values measured  
 218 during experiment B are always lower than those observed in experiments A and C in which

219 plant density was twice as higher. Herewith it can be concluded that higher plant densities  
 220 directly imply higher LAI and VC values. Differences in LAI and VC values obtained with  
 221 the same plant density (experiments A and C) were due to varying weather conditions (higher  
 222 temperatures leading to faster growth and higher LAI and VC values). Maximum LAI during  
 223 experiments A, B, and C were 7.16, 3.78, and 8.81 while corresponding VC values were 78%,  
 224 27%, and 94%. On average, LAI was shown to be a factor 2.1 higher between experiments B  
 225 and C, while for CV the corresponding factor was 2.5. At the day of harvest, this factor was  
 226 shown to be 2.3 and 3.5, respectively.

227 The linear relationship between plant growth indicators like LAI and VC and plant  
 228 density shown in this study was also observed in earlier studies (see **Table 2**). Beccafichi et al.  
 229 (2003) evaluated the relationship between crop density and LAI and VC parameters and  
 230 concluded that there is a linear increase of LAI and VC with increasing plant density. Their  
 231 studies were conducted during winter and maximum LAI reported are similar to those  
 232 obtained in experiment A of this study. On the other hand, results reported by Carranza et al.  
 233 (2009) are lower than in the current study although the lettuce density was higher. This can be  
 234 explained by the fact that plants were grown in poor saline soil leading to lower growth rates.  
 235 Studies by Tei et al. (1996) and Archila et al. (1998) reported higher lettuce densities and  
 236 subsequently also higher LAI values.

237 In order to calculate general dynamic LAI and VC equations, data from experiments A  
 238 and C (i.e. crop density of 7.6 plants per m<sup>2</sup>) were fitted using a logistic growth function,  
 239 resulting in the following equations:

240 
$$\text{LAI}(t) = \frac{8.5}{1 + e^{6.20 - 0.19 \times t}}; R^2 = 0.99$$
 (4)

241 
$$\text{VC}(t) = \frac{0.9}{1 + e^{3.46 - 0.13 \times t}}; R^2 = 0.99$$
 (5)

242 Results obtained in experiment B were rejected from the analysis as they do not  
243 represent conventional production practices for lettuce.

244

### 245 3.2. Deposition fractions and initial mass distribution

246 Fractions deposited on lettuce leaves  $f_{\text{lettuce}}$  after azoxystrobin sprayed on 3.2 plants per m<sup>2</sup>  
247 (experiments B) and 7.6 plants per m<sup>2</sup> (experiment C) as measured in the tracer test are presented in  
248 **Table 3** to evaluate the influence of crop density on deposition fractions. At application time,  
249 we measured  $f_{\text{lettuce}} = 0.23$  for experiment B and  $f_{\text{lettuce}} = 0.50$  for experiment C, i.e.  
250 respectively 23% and 50% of the total quantity of pesticide applied deposited on lettuce.  
251 Multiplying  $f_{\text{lettuce}}$  with the pesticide amount applied (mg ha<sup>-1</sup>) and normalizing for the lettuce  
252 fresh weight (kg ha<sup>-1</sup>) yields initial quantities deposited on lettuce of 3.82 mg kg<sup>-1</sup> and 3.65  
253 mg kg<sup>-1</sup> for experiments B and C, respectively. 1 hour after application, analytical samples  
254 showed for experiment B 3.64, 4.04 and 3.99 mg kg<sup>-1</sup> with a mean of  $3.89 \pm 0.18$  mg kg<sup>-1</sup>,  
255 and for experiment C 4.11, 3.65 and 3.67 mg kg<sup>-1</sup> with a mean of  $3.81 \pm 0.21$  mg kg<sup>-1</sup>.  
256 Variations are in both cases less than 5%, i.e. 1.83% for experiment B and 4.38% for  
257 experiment C. However, remaining uncertainties in the tracer test have been identified to be  
258 mainly related to varying spray droplet size.

259 Some differences are found for experimental  $f_{\text{lettuce}}$  between experiments B and C at  
260 different days showing the influence of crop density on plant deposition fractions. Until 25  
261 days after planting similar experimental  $f_{\text{lettuce}}$  are found for both experiments with a  
262 deviation of around 8%. After 25 days onwards, differences increase and reach a maximum  
263 deviation at harvest day, where  $f_{\text{lettuce}} = 0.45$  was obtained from experiment B and  
264  $f_{\text{lettuce}} = 0.95$  from experiment C with a total deviation of 41%.

265 Results from the tracer test for low density crops show that more than half of quantity  
266 sprayed would reach the soil even for developed growth stages mainly due to the type of

267 sprayer which is not adaptable to space between plants. For higher densities as shown in  
 268 experiment C, the quantity applied would mostly reach the plant for the same type of sprayer  
 269 proving that deposition on lettuce depends on growth stage, plant density and also on type of  
 270 sprayer.

271 **Table 3**

272 Fractions of azoxystrobin deposited on lettuce leaves for experiments B and C measured from the  
 273 tracer test and calculated according to (1) as a function of LAI and according to (2) as a function of  
 274 VC.

days after planting	$f_{\text{lettuce}}$ (experiment B)			$f_{\text{lettuce}}$ (experiment C)		
	measured	$f(\text{LAI})$	$f(\text{VC})$	measured	$f(\text{LAI})$	$f(\text{VC})$
10	-	-	-	0.08	0.04	0.09
14	0.15	0.08	0.14	-	-	-
23	-	-	-	0.25	0.32	0.33
24 <sup>a</sup>	0.23	0.36	0.36	-	-	-
30 <sup>b</sup>	-	-	-	0.50	0.64	0.62
32	0.35	0.71	0.57	-	-	-
45	0.45	0.88	0.78	>0.95	0.88	0.78

275 <sup>a</sup>application day of experiment B; <sup>b</sup>application day of experiment C

276

277 **Table 3** also summarizes  $f_{\text{lettuce}}$  for experiments B and C at different points in time  
 278 calculated according to (1) with  $\text{LAI}(t)$  estimated with (4) as well as calculated according to  
 279 (2) with  $\text{VC}(t)$  estimated with (5). Calculated values of  $f_{\text{lettuce}}$  for experiment B show an  
 280 overall deviation from measured results of 58.4% for LAI based calculations and 31.1% for  
 281 VC based calculations. Higher differences between modeled and experimental results are  
 282 found for experiment B with a density of 3.2 plants per  $\text{m}^2$ , which was only used for  
 283 evaluating the influence of crop density on lettuce LAI and VC development, since it does not  
 284 comply with common agricultural practice (MARM, 2006). Hence, crop density in  
 285 experiment B was not considered in (4) and (5) for estimating  $\text{LAI}(t)$  and  $\text{VC}(t)$ , respectively.  
 286 Correspondingly, the reduced deviation between experiment C and modeled results is

287 attributable to the higher crop density of 7.6 plants per m<sup>2</sup>. However, although decreasing  
288 crop density per m<sup>2</sup> also decreases crop yield (Moniruzzaman, 2006), the implications of  
289 reduced pesticide deposition fractions reaching the lettuce surface should be considered for  
290 future agricultural practice in respect of human health. The relation between pesticide  
291 application amount and crop design was studied by Escolà (2010) proposing to calculate  
292 optimal application amounts as a function of LAI and crop density.

293 Generally, predictions overestimate deposition fractions reaching lettuce compared to  
294 experimental results starting around 20 days after plantation, thereby indicating that applying  
295 a generic drift fraction might lead to underestimating losses to air. However, results are  
296 considerably lower, if calculated as a function of VC compared to results calculated as a  
297 function of LAI. This trend is particularly relevant for 45 days after plantation onwards in  
298 experiment C, where calculated results as a function of VC start to be below measured values.

299 Using the LAI approach leads to high predictions of pesticides deposited on lettuce at  
300 final growth stages (12%), since LAI reflects an increased vegetative growth compared to  
301 using the area covered by the crop only. In earlier crop stages, however, doses reaching  
302 lettuce are higher, if the VC approach is applied. The foliar architecture of lettuce can explain  
303 these differences; in early stages, few leaves have more open arrangement leading to a higher  
304 value for VC compared to LAI, whereas at final stages, this relationship reversed by the head  
305 development structure of the plant (see Fig. 1).

306

### 307 *3.3. Development of residues in harvest*

308 Pesticide residues in lettuce leaves at different points in time (1 hour and 1, 4, 7 and 14  
309 days after application) are presented in Fig. 3 for experiments A, B and C. Since measured  
310 residues follow a general first order decrease with time, first order kinetics are assumed in all  
311 experiments for estimating overall degradation half-lives in lettuce. We calculated half-lives

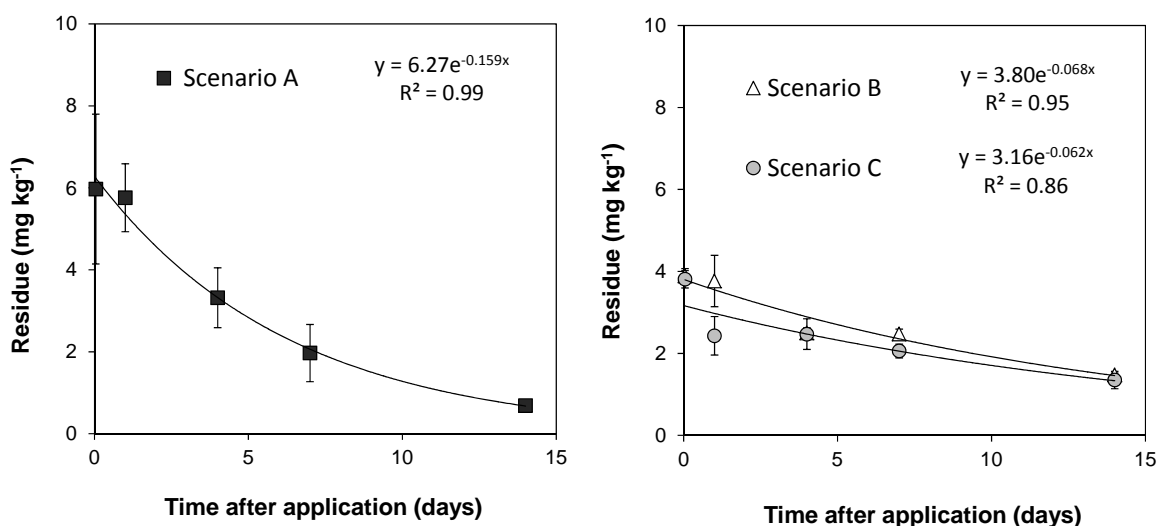
312 HL (d) from the bulk degradation rate constant  $k$  ( $\text{d}^{-1}$ ) in  $C(t) = C(0) \times e^{-k \times t}$  with  $C$  [ $\text{mg kg}^{-1}$ ]  
313 as pesticide concentration in lettuce according to  $\text{HL} = \ln(2) / k$ .

314 For imidacloprid (experiment A), residues range from  $5.97 \text{ mg kg}^{-1}$  at 1 hour after  
315 application to  $0.69 \text{ mg kg}^{-1}$  at 14 days after application, from which a half-life in lettuce of  
316 4.36 days was obtained ( $R^2 = 0.99$ ). For azoxystrobin applied to lettuce grown in line with  
317 common agricultural practice (experiment C), residues decreased from  $3.81 \text{ mg kg}^{-1}$  at 1 hour  
318 after application to  $1.35 \text{ mg kg}^{-1}$  at day 14 after application, yielding a half-life in lettuce of  
319 11.2 days ( $R^2 = 0.86$ ). For azoxystrobin applied to lettuce with smaller crop density per  $\text{m}^2$   
320 (experiment B), residues range from  $3.89 \text{ mg kg}^{-1}$  at 1 hour after application to  $1.48 \text{ mg kg}^{-1}$  at  
321 14 days after application, from which we derived a half-life in lettuce of 10.2 days  
322 ( $R^2 = 0.95$ ). The higher accuracy of predicting half-life of azoxystrobin in lettuce from  
323 residues in experiment B than in experiment C might be a result of the high temperature in the  
324 greenhouse of  $30^\circ\text{C}$  at day 1 after application in experiment C leading to increased  
325 degradation processes at that time. Acceleration of pesticide degradation by temperature is  
326 discussed e.g. in Beulke et al. (2005). However, standard deviations for measured residues are  
327 highest for day 1 after application in both experiments B and C (see Fig. 3), indicating that  
328 sampling uncertainties might also play a role for the accuracy in estimating half-lives. In  
329 contrast, no differences were found between hydroponic and soil design in azoxystrobin  
330 experiments.

331

332 < Fig. 3 >





333

334 **Fig. 3.** Evolution of residues in lettuce (mg kg<sup>-1</sup>) for experiments A, B and C.

335

336 Official European maximum residue levels in lettuce are MRL = 3 mg kg<sup>-1</sup> for  
 337 azoxystrobin and MRL = 2 mg kg<sup>-1</sup> for imidacloprid (EC, 2005), and recommended  
 338 minimum pre-harvest intervals according to Spanish legislation are PHI = 7 days for both  
 339 pesticides (MARM, 2011). In all experiments, mean residues ( $n = 3$  for each experiment and  
 340 day) in lettuce at recommended PHI are below official MRLs. However, for spray application  
 341 onto lettuce, a PHI of 3 days was found for imidacloprid in Spain (FAO, 2002) and 14 days  
 342 for azoxystrobin in France, Germany and The Netherlands (FAO, 2009). Applying these PHI  
 343 values in the present experiments would yield in imidacloprid residues exceeding the official  
 344 MRL, whereas azoxystrobin residues would broadly comply with official MRLs. These  
 345 results suggest that for residues in lettuce, the time between application and harvest is at least  
 346 as important as application amounts. Consequently, similar application amounts will lead to  
 347 residues exceeding official MRLs in cases where pre-harvest intervals are too short. To  
 348 comply also with the MRL for imidacloprid in lettuce, we derive a PHI of almost 7 days from  
 349 experiment A.

350

351 *3.4. Food processing factors and human intake fractions*

352 Food processing factors due to washing lettuce after harvest of  $fp = 0.44$  (56%  
353 removed),  $fp = 0.47$  (53% removed) and  $fp = 0.57$  (43% removed) are obtained for  
354 experiment A with imidacloprid and experiments B and C with azoxystrobin, respectively.  
355 Our results are in accordance with other studies, in which washing reduces pesticide residues  
356 in lettuce e.g. by 30% for malathion (Leyva et al., 1998) or by 64% for permethrin (Holland et  
357 al., 1994), thereby indicating that food processing factors vary between substances applied to  
358 same crop.

359 Experimental processing factors of  $fp = 0.25$  (75% removed) for washing  
360 azoxystrobin and imidacloprid residues from grapes (Lentza-Rizos et al., 2006; Spiegel, 2001)  
361 and  $fp = 0.78$  (22% removed) for washing imidacloprid from tomatoes (Juraske et al., 2009)  
362 were reported. Since these factors are significantly higher than our processing factors for  
363 lettuce, it can be concluded that experimental processing factors show large variation when  
364 applied to different crop surface structures.

365 For finally calculating human intake fractions  $iF$  ( $\text{kg}_{\text{intake}} \text{kg}_{\text{applied}}^{-1}$ ) from consumption  
366 of washed lettuce, we consider the amount of  $219 \text{ g ha}^{-1}$  applied imidacloprid (experiment A)  
367 as well as  $261 \text{ g ha}^{-1}$  and  $127 \text{ g ha}^{-1}$  applied azoxystrobin (experiments B and C, respectively),  
368 a time between pesticide application and crop harvest of 14 days in all our experiments and  
369 food processing factors of 0.44 for imidacloprid and 0.50 for azoxystrobin. Human intake  
370 fractions range from  $0.045 \text{ kg}_{\text{intake}} \text{kg}_{\text{applied}}^{-1}$  via  $0.17 \text{ kg}_{\text{intake}} \text{kg}_{\text{applied}}^{-1}$  to  $0.14 \text{ kg}_{\text{intake}} \text{kg}_{\text{applied}}^{-1}$   
371 for experiments A, B and C, respectively. Fenoll et al. (2008) reported experimental residue  
372 up to 7 days after application of  $204 \text{ g ha}^{-1}$  azoxystrobin on lettuce of  $1.05 \text{ mg kg}^{-1}$ . To  
373 compare results from Fenoll et al. (2008) with our experiments, we extrapolated their residues  
374 to arrive at 14 days after application and considered our processing factor for azoxystrobin  
375 due to washing, with what we would obtain an intake fraction of  $0.13 \text{ kg}_{\text{intake}} \text{kg}_{\text{applied}}^{-1}$ , which  
376 is in line with our experimental results.

377

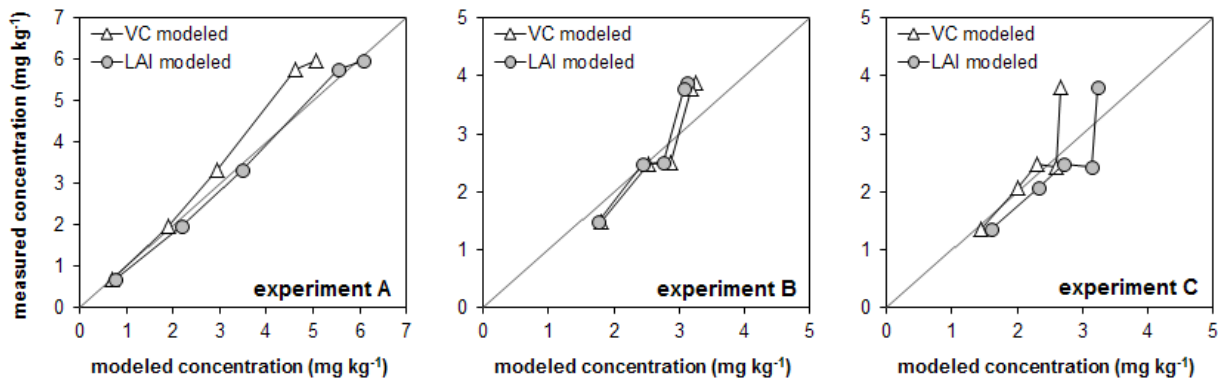
378 *3.5. Comparison with model results*

379 To compare measured residues with model results, we introduced experimental  
380 application amounts, days of plantation, pesticide application and harvest, measured lettuce  
381 characteristics and degradation half-lives in lettuce in the modeling approach. Furthermore,  
382 we implemented the calculation of initial mass conditions on the one hand as a function of  
383 LAI development according to (1) and (4) as well as on the other hand as a function of VC  
384 development according to (2) and (5) to contrast both approaches with respect to their  
385 accuracy in predicting pesticide residues in lettuce.

386 **Fig. 4** shows both measured and modeled residues at different points in time for  
387 experiments A, B and C. Experimental and modeled residues deviated between a factor 1.2  
388 when using LAI for modeling residues in experiment A and a factor 1.4 when using LAI for  
389 modeling residues in experiment C. Standard errors of the log of residuals are 0.031, 0.071  
390 and 0.074 when using LAI for modeling residues in experiments A, B and C, respectively,  
391 and 0.059, 0.067 and 0.073 when using VC for modeling residues in experiments A, B and C,  
392 respectively. Highest deviations between measured and modeled results are found between 1  
393 hour and 1 day after application of azoxystrobin in experiment C. A relatively high  
394 temperature at day 1 after application in experiment C is leading to increased degradation  
395 compared to modeled degradation. This demonstrates the influence of varying environmental  
396 and weather conditions on model accuracy, where boundary conditions are usually kept  
397 constant.

398

399 < **Fig. 4** >



400

401 **Fig. 4.** Comparison of measured residues in lettuce at different days after application for experiments  
 402 A, B and C with model results using LAI and VC for estimating initial mass distribution.

403

404 Results indicate that neither LAI nor VC can generally be seen as the more accurate  
 405 measure to estimate leaf area for lettuce, since for imidacloprid modeled residues are less  
 406 deviating from measurements, if LAI is used in the model to estimate leaf area (**Fig. 4,**  
 407 experiment A), whereas for azoxystrobin modeled residues show higher correlation with  
 408 measurements, if VC is used in the model to estimate leaf area (**Fig. 4,** experiments B, C).  
 409 However, using LAI yields different fractions deposited onto lettuce leaves compared to using  
 410 VC in the model, thereby emphasizing its influence on the final residues over time. In the  
 411 model, different rate constants are competing for residual pesticide mass in lettuce. Since it  
 412 will depend on the substance properties to choose the correct approach to estimate leaf area  
 413 growth and finally the fraction deposited on lettuce and soil. More specifically, whenever a  
 414 pesticide degrades quicker than it is taken up via the root system, the fraction deposited on  
 415 soil becomes important, which is the case for both studied substances. However, for pesticides  
 416 with very short degradation half-lives in lettuce, the fraction deposited on soil becomes  
 417 insignificant; for such substances, both measures LAI and VC lead to very similar residues in  
 418 lettuce, since the transfer from soil to roots dominates the system dynamics, which is in line  
 419 with the findings from Fantke et al. (2012).

420

421 **4. Conclusions**

422 From this study it can be concluded that both LAI and VC can be used in the  
423 prediction of pesticide deposition fractions and subsequent estimation of residues on lettuce  
424 foliage. Both measures lead to very similar residues indicating that neither LAI nor VC can  
425 generally be seen as the more accurate measure. Through field experiments increasing plant  
426 density was shown to directly influence the deposition fraction. Based on experimental data  
427 dynamic LAI and VC equations were derived using logistic growth functions. Pesticide  
428 residues dynamics were shown to follow first order decay from which half-lives of  
429 azoxystrobin and imidacloprid were derived. In all experiments, at recommended PHI, mean  
430 residues in lettuce were below official MRL. Experimental and modeled residues  
431 corresponded well and deviated up to a factor of 1.4.

432

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